

GLOSSARY

Public Data

SUMMARY**1 TERMS AND DEFINITIONS 2****INTRO**

This glossary is a document that represents the terms, codes, and definitions applicable to the files from data services called “Registros_Consolidados_Balcao”, provided by B3.

FILE DESCRIPTION

The main objective is to give publicity to the consolidated datas of registered trades.

The following list contains definitions for the file Registros_Consolidados_Balcao.

1 TERMS AND DEFINITIONS

| Column | Column (EN) | Description | Column Rules |
|-------------------|-----------------|--|----------------------|
| DataReferencia | Report Date | Reference date of the information. | Format: “DD/MM/AAAA” |
| TipInstrumento | Instrument Type | Name of the instrument type. | |
| CodigoInstrumento | Ticker Symbol | Ticker that identifies a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock. | |
| ISIN | ISIN | International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organization for Standardization (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country | |
| Emissor | | Issuer of the instrument. | |
| DataLiquidacao | | Trade Settlement date | Format: “DD/MM/AAAA” |
| Quantidade | Quantity | Trade Quantity of each ticker | |
| PrecoMinimo | Minimum Price | Minimum price. | |

| | | | |
|-----------------------|------------------------------------|---|--|
| PrecoMedio | Trade Average Price | Trade Average Price | |
| PrecoMaximo | Maximum Price | Maximum price. | |
| UltimoPreco | Last Price | Last Price | |
| PrecoReferencia | Reference Price | Reference Price | |
| NumeroNegocios | Trade Quantity | Trade Quantity | |
| VolumeNegociado | Financial Volume | Financial volume traded on the day (BRL). | |
| ClassificacaoNegocios | Operation Classification Type Name | Description of the Type of Trading for Debenture, CRA and CRI | Domain: * INTRAGRUPO * EXTRAGRUPO * - |

***Intragroup** - classification given to businesses in which the economic group of the buyer is the same as the economic group of the seller of the debenture. Trades carried out between fund managers belonging to the same economic group, through the intermediation of the same institution, are considered intra-group, provided that the amount transferred of the debenture is the same at both ends of the deal.

***Extragroup** - classification given to businesses in which the economic group of the buyer is different from the economic group of the debenture seller.

* For Debentures, CRI and CRA, only trades that were finalized and settled in the NoMe in T0 (for spot trading) and those that have been confirmed and awaiting cash settlement (for forward trading).

***Economic Group** - institution indicated in the record of the buyer/selling institution in the B3 system. For investment funds, the one indicated in the fund manager's registration will be considered as the fund's.

Change Log:

| Version | Item | Description | Purpose | Date |
|---------|------|--|---|----------------|
| 1 | - | - | Initial version | October/2019 |
| 2 | 1 | Add Fields OperationClassificationTypeName and OperationClassificationTypeCode | Glossary Update | September/2021 |
| 3 | 1 | Note inclusion | Inclusion of detailed explanation of data source | December/2021 |
| 4 | 1 | Inclusion of observation | Inclusion of detailed explanation about intragroup and extragroup | July/2022 |
| 5 | 1 | NationalFinancialVolume column addition | Resolução 135 da CVM | October/2022 |
| 6 | 1 | "TipoInstrumento", "Emissor" and "DataLiquidacao" columns | Resolução 135 da CVM | December/2022 |

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|--|--|--|--|--|
| | | Additions. AdjustedQuote and OperationClassificationTypeC ode columns removal | | |
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