



iMercado
IMBARQ004 File layout
Financial Settlement Information



Release

Update date	Version	Information
21/10/2022	2.1	English Version created. IMBARQ File Division version.

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About the document

The purpose of this document is to introduce, the IMBARQ004 - Financial Settlement Information file layout with detailed description of its content (field names, format and position).

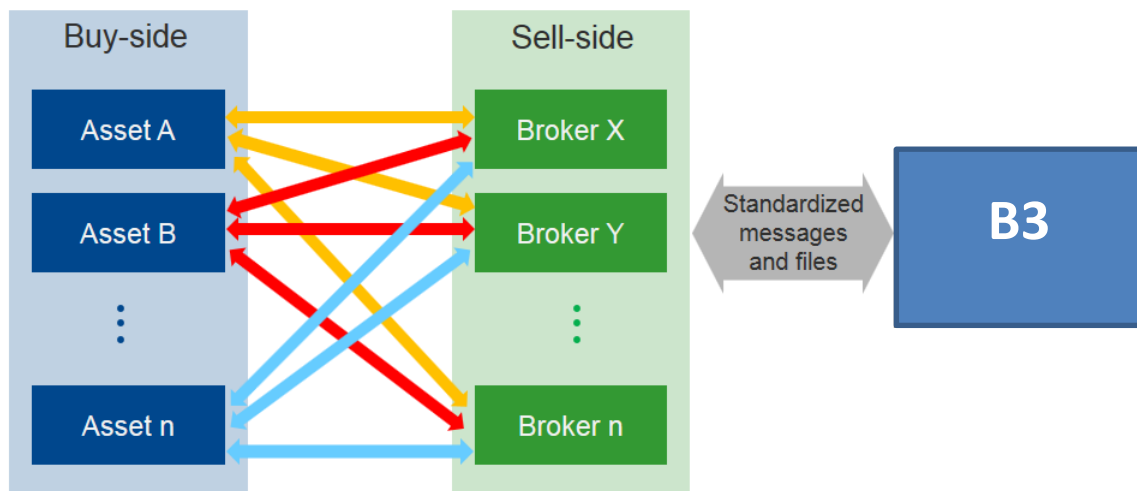
Find below the details that this document contains:

- Pending Settlement Cash Entry (to T+0);
- Future Pending Settlement Cash Entry (T+1 or later).

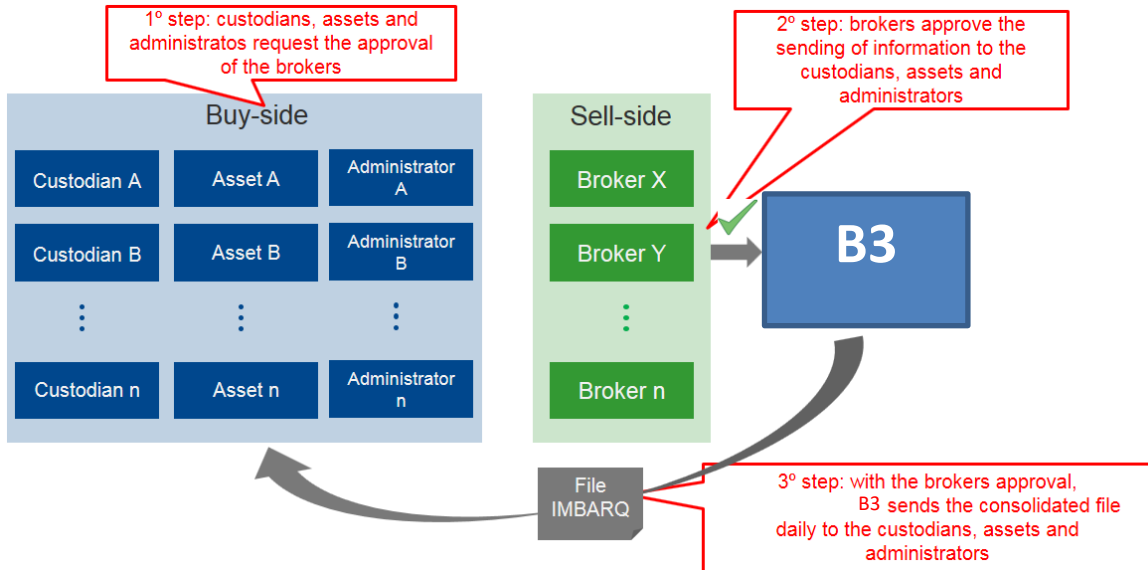
Proposal of iMercado's File

iMercado has the purpose of standardizing the exchange of information amongst different market participants (Custodians, Brokers, Asset Managers) Currently, the Sell-Side can exchange information with the Buy-Side in many ways and formats. iMercado File Module proposes to unify and provide standardized information directly from B3 Clearing House to iMercado's participant, as can be seen in the images below:

Current Model:



Model proposed by the iMercado - File Module:



IMBARQ001 Registers' Brief Table

Block Information	Registers	Information Type	Description
Financial Settlement	12	Pending Settlement Cash Entry (to D0)	Contains financial settlement information to T+0
	13	Future Pending Settlement Cash Entry (T+1 or later)	Contains future financial settlement information to T+1 or later

IMBARQ004 Register File Layout

Find below each field of IMBARQ004 File by register:

Header

Field Name	Notes	Format	Initial Position	Final Position
Register Type	Fixed "00"	N(02)	1	2
File Name	Bellow description	19		
File Code	Fixed "IMBARQ004"	X(09)	3	11
Participant Category Code		N(03)	12	14
Participant Code	Requester Participant Code	N(07)	15	21
Origin Code	Fixo "BVMF"	X(08)	22	29
Destiny Participant Code	Requester Participant Code	N(15)	30	44
Moviment Number	Sequential control number	N(09)	45	53
Creation Date	"YYYYMMDD"	N(08)	54	61
Moviment Date	"YYYYMMDD"	N(08)	62	69
Reserve	Blank space	X(931)	70	1000

Trailer

Field Name	Notes	Format	Initial Position	Final Position
Register Type	Fixed "99"	N(02)	1	2
File Name	Bellow description	19		
File Code	Fixed "IMBARQ004"	X(09)	3	11
Participant Category Code		N(03)	12	14
Participant Code	Requester Participant Code	N(07)	15	21
Origin Code	Fixed "BVMF"	X(08)	22	29
Destiny Participant Code	Requester Participant Code	N(15)	30	44
Moviment Number		N(09)	45	53
Creation Date	"YYYYMMDD"	N(08)	54	61
Total Number of Registers Generated at the File		N(09)	62	70
Moviment Date	"YYYYMMDD"	N(08)	71	78
Reserve	Blank space	X(922)	79	1000

Financial Settlement

Register 12 - Pending Settlement Cash Entry (to T+0)

Pending Settlement Cash Entry (to D0)	Notes	Format	Initial Position	Final Position
Register Type	Fixed "12"	N(02)	1	2
Requester Participant Code		X(15)	3	17
Investor Code at the Requester Participant Code		X(15)	18	32
Requested Participant Code		X(15)	33	47
Investor Code at the Requested Participant Code		X(15)	48	62
Código do Participante Por Conta		X(15)	63	77
Asset		X(30)	78	107
ISIN Code		X(12)	108	119
Reference Date	"YYYY-MM-DD"	X(10)	120	129
Settlement Date	"YYYY-MM-DD"	X(10)	130	139
Cash Entry Code	The codes of this field can be found at Excel ExternalCodeList_iMercado_MóduloArquivos.xls document – spread sheet: CashEntryCode	N(05)	140	144
Cash Entry Code Description	Check notes from the field above	X(60)	145	204
Launch Type	The codes of this field can be found at Excel ExternalCodeList_iMercado_MóduloArquivos.xls document – spread sheet: LaunchType	X(01)	205	205
Cash Entry Value		N(18)V02	206	225
Quantity		N(15)	226	240
Traded Price		N(13)V02	241	255
Income Tax Value		N(17)V02	256	274
Compensation Process Code		N(19)	275	293
Securities Lending Contract Number	Displayed when Cash Entry Code is 12112 or 12113.	X(35)	294	328
Reserve	Blank space	X(672)	329	1000

Registro 13 - Future Pending Settlement Cash Entry (T+1 or later)

Future Pending Settlement Cash Entry (T+1 or later)	Notes	Format	Initial Position	Final Position
Register Type	Fixed "13"	N(02)	1	2
Requester Participant Code		X(15)	3	17
Investor Code at the Requester Participant Code		X(15)	18	32
Requested Participant Code		X(15)	33	47
Investor Code at the Requested Participant Code		X(15)	48	62
Código do Participante Por Conta		X(15)	63	77
Asset		X(30)	78	107
ISIN Code		X(12)	108	119
Reference Date	"YYYY-MM-DD"	X(10)	120	129
Settlement Date	"YYYY-MM-DD"	X(10)	130	139
Cash Entry Code	The codes of this field can be found at Excel ExternalCodeList_iMercado_MóduloArquivos.xls document – spread sheet: CashEntryCode	N(05)	140	144
Cash Entry Code Description	Check notes from the field above	X(60)	145	204



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Future Pending Settlement Cash Entry (T+1 or later)	Notes	Format	Initial Position	Final Position
Launch Type	The codes of this field can be found at Excel ExternalCodeList_iMercado_MóduloArquivos.xls document – spread sheet: LaunchType	X(01)	205	205
Cash Entry Value		N(18)V02	206	225
Quantity		N(15)	226	240
Traded Price		N(13)V02	241	255
Income Tax Value		N(17)V02	256	274
Compensation Process Code		N(19)	275	293
Reserve	Blank space	X(707)	294	1000



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Contact us

produtoimercado@b3.com.br or liquidacao.imercado@b3.com.br