



January 13, 2022  
002/2022-VPC

## EXTERNAL COMMUNICATION

To: Listed B3 Participants

Re: **Update to List of B3's Products that May be Traded by U.S. Persons as Determined by Commodity Futures Trading Commission (CFTC)**

On June 1, 2016, the U.S. Commodity Futures Trading Commission (CFTC) approved registration of B3 as a foreign board of trade (FBOT), allowing people located in the United States of America (U.S. Persons) to continue to access CFTC-authorized Listed B3 products directly from the U.S. via Sponsored Direct Connections, provided the execution solution is managed by authorized investors.

The following products have been included in the list of B3's products that may be traded by U.S. Persons: Cash-Settled FOB Santos (Platts) Soybean Futures (SOY), Call and Put Options on Cash-Settled FOB Santos (Platts) Soybean Futures (SOY), Rollover of Cash-Settled FOB Santos (Platts) Soybean Futures, DAX Futures (DAX), Euro Stoxx 50 Futures (ESX), Rollover of DAX Futures (DX1), and Rollover of Euro Stoxx 50 Futures (ES1).

This External Communication replaces External Communication 017/2021-VPC, dated May 27, 2021, and External Communication 027/2020-VPC, dated July 21, 2020.

B3 provides several types of Sponsored Direct Connection, as described in its Trading Procedures Manual. The relevant infrastructure characteristics are available at [www.b3.com.br/en\\_us](http://www.b3.com.br/en_us), Regulation, Regulatory framework, Regulations and manuals, Trading.

It is important to note that intermediaries are responsible for observance of specific authorizations and must ensure that investors comply with the rules for access to the markets operated by B3, as established in the Trading Procedures Manual.

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As a financial market infrastructure, B3 periodically checks that intermediaries are fulfilling the obligations mentioned herein.

The updated list of authorized products is attached as the Annex to this External Communication and can be found at [www.b3.com.br/en\\_us](http://www.b3.com.br/en_us), Regulation, Non-resident investor.

Further clarification can be obtained from the Listed Products Department by calling +55 11 2565-5661 or +55 11 2565-7457.

Viviane El Banate Basso  
Chief Operating Officer – Issuers, Depositary and OTC

### Annex to External Communication 002/2022-VPC

#### B3's products that may be accessed directly by U.S. Persons, as determined by CFTC

Product	Type	Product name
Derivatives	Commodities	Cash-Settled Crystal Sugar Futures Contract (ACF)
		Cash-Settled Live Cattle Futures Contract (BGI)
		4/5 Arabica Coffee Futures Contract (ICF)
		6/7 Arabica Coffee Futures Contract (KFE)
		Anhydrous Fuel Ethanol Futures Contract (ETN)
		Cash-Settled Hydrous Ethanol Futures Contract (ETH)
		Corn Price Basis Futures Contract (CTM, COP and CRV)
		Cash-Settled Corn Futures Contract (CCM)
		Cash-Settled Soybean Futures Contract (SFI)
		Cash-Settled FOB Santos (Platts) Soybean Futures Contract (SOY)
		Call and Put Options on Cash-Settled Crystal Sugar Futures Contract (ACF)
		Call and Put Options on Cash-Settled Live Cattle Futures Contract (BGI)
		Call and Put Options on 4/5 Arabica Coffee Futures Contract (ICF)
		Call and Put Options on 6/7 Arabica Coffee Futures Contract (KFE)
		Call and Put Options on Cash-Settled Hydrous Ethanol Futures Contract (ETH)
		Call and Put Options on Cash-Settled Corn Futures Contract (CCM)
		Call and Put Options on Cash-Settled Soybean Futures Contract (SFI)

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Product	Type	Product name
		Call and Put Options on Cash-Settled FOB Santos (Platts) Soybean Futures Contract (SOY)
		Gold Futures Contract (OZ1)
		Gold Forward Agreement (OZ1)
	Indices	Cash-Settled BVMF MICEX Index Futures Contract Referenced to Points of MICEX-RTS's MICEX Index (MIX)
		Cash-Settled S&P 500 Futures Contract Referenced to CME Group's S&P 500 Quotation (ISP)
		Micro Cash-Settled S&P 500 Futures Contract Referenced to CME Group's S&P 500 Quotation (WSP)
		Cash-Settled BVMF FTSE/JSE Top40 Futures Contract Referenced to Points of Johannesburg Stock Exchange's FTSE/JSE Top 40 Index (JSE)
		Cash-Settled BVMF Hang Seng Index Futures Contract Referenced to Points of Hong Kong Exchange and Clearing Limited's Hang Seng Index (HSI)
		Nikkei 225 Futures Contract (INK)
		Ibovespa Futures Contract (IND)
		Mini Ibovespa Futures Contract (WIN)
		Brazil-50 Index Futures Contract (BRI)
		Call and Put Options on S&P 500 Futures Contract (ISP)
		DAX Futures Contract (DAX)
		Euro Stoxx 50 Futures Contract (ESX)
		Exchange Rates
	Argentine Peso per U.S. Dollar Futures Contract (ARS)	
	Australian Dollar Futures Contract (AUD)	
	U.S. Dollar per Australian Dollar Futures Contract (AUS)	

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Product	Type	Product name
		Canadian Dollar Futures Contract (CAD)
		Canadian Dollar per U.S. Dollar Futures Contract (CAN)
		U.S. Dollar Futures Contract (DOL)
		Mini U.S. Dollar Futures Contract (WDO)
		New Zealand Dollar Futures Contract (NZD)
		U.S. Dollar per New Zealand Dollar Futures Contract (NZL)
		Euro Futures Contract (EUR)
		Mini Euros Futures Contract (WEU)
		U.S. Dollar per Euro Futures Contract (EUP)
		Swiss Franc Futures Contract (CHF)
		Swiss Franc per U.S. Dollar Futures Contract (SWI)
		Japanese Yen Futures Contract (JPY)
		Japanese Yen per U.S. Dollar Futures Contract (JAP)
		Chinese Yuan Futures Contract (CNY)
		Chinese Yuan per U.S. Dollar Futures Contract (CNH)
		Pound Sterling Futures Contract (GBP)
		U.S. Dollar per Pound Sterling Futures Contract (GBR)
		Turkish Lira Futures Contract (TRY)
		Turkish Lira per U.S. Dollar Futures Contract (TUQ)
		Chilean Peso Futures Contract (CLP)
		Chilean Peso per U.S. Dollar Futures Contract (CHL)
		Mexican Peso Futures Contract (MXN)

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Product	Type	Product name
		Mexican Peso per U.S. Dollar Futures Contract (MEX)
		South African Rand Futures Contract (ZAR)
		South African Rand per U.S. Dollar Futures Contract (AFS)
		Norwegian Krone per U.S. Dollar Futures Contract (NOK)
		Swedish Krona per U.S. Dollar Futures Contract (SEK)
		Russian Ruble per U.S. Dollar Futures Contract (RUB)
	Interest Rates	One-Day Interbank Deposit Rate Futures Contract (DI1)
		Overnight Repo Rates Futures Contract (OC1)
		DI to U.S. Dollar Spread Futures Contract (DDI)
		U.S. Dollar Spread to Overnight Repo Rate Futures Contract (DCO)
		DI to IPCA Spread Futures Contract (DAP)
		IPCA Futures Contract (IAP)
		Call and Put Options on One-Day Interbank Deposit Rate (IDI)
		Call and Put Options on One-Day Interbank Deposit Rate Futures Contract (D1-D19)
	Sovereign Debt	10-Year U.S. Treasury Note Futures Contract (T10)
Structured Transactions	Commodities	Rollover of Cash-Settled Crystal Sugar Futures Contract (RAC)
		Rollover of Cash-Settled Live Cattle Futures Contract (BR1)
		Rollover of 4/5 Arabica Coffee Futures Contract (CR1)
		Rollover of 6/7 Arabica Coffee Futures Contract (KR1)
		Rollover of Cash-Settled Corn Futures Contract (MR1)
		Rollover of Cash-Settled Hydrous Ethanol Futures Contract (ET1)

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Product	Type	Product name
		Rollover of Cash-Settled FOB Santos (Platts) Soybean Futures Contract
	Indices	Rollover of Cash-Settled S&P 500 Futures Contract Referenced to CME Group's S&P 500 Quotation (RSP)
		Rollover of Micro Cash-Settled S&P 500 Futures Contract Referenced to CME Group's S&P 500 Quotation (WS1)
		Rollover of Nikkei 225 Futures Contract (NK1)
		Rollover of Ibovespa Futures Contract (IR1)
		Rollover of Mini Ibovespa Futures Contract (WI1)
		Rollover of DAX Futures Contract (DX1)
		Rollover of Euro Stoxx 50 Futures Contract (ES1)
	Exchange Rates	Forward Points on U.S. Dollar Futures Contract (FRP)
		Rollover of U.S. Dollar Futures Contract (DR1)
		Rollover of Mini U.S. Dollar Futures Contract (WD1)
		U.S. Dollar Volatility (VTC)
	Interest Rates	DI to U.S. Dollar Spread Forward Rate Agreement (FRC)
		U.S. Dollar to Repo Spread Forward Rate Agreement (FRO)
		Spot Interbank Deposit Rate Volatility (VID)

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