

## Trading Parameters Equities

08/12/2020

Market	Product	Fluctuation Limits (%)		Rejection Tunnel <sup>(3)</sup>	Tunnel Base Price (TBP)	Auction Tunnel Opening Price (%)		Auction Tunnel - Last Trade Price (%)		Weighted Average Price Tunnel (%) <sup>(1) (6)</sup>		Protection Tunnel during Auction <sup>(2)</sup>		Fluctuation Limits After Market (%)	
		+	-			+	-	+	-	+	-	+	-	+	-
Cash	IBOV and IBXX	100	50	20	LTP <sup>(1)</sup>	10	10	1,5	1,5	2	2	9	9	2	2
	Other Indices							3	3	3	3	9	9	-	-
	BDR's Index							3	3	6	6	20	20	-	-
	BDR's							8,5	8,5	6	6	20	20	-	-
	Other Securities							8,5	8,5	10	10	20	20	-	-
	Subscription's Right							30	30	8,5	8,5	10	10	20	20
Odd lots	IBOV and IBXX	100	50	20	LTP <sup>(1)</sup>	30	30	7,5	7,5	7,5	7,5	-	-	2	2
	Other Indices							10	10	10	10	-	-	-	-
	Other Securities							15	15	15	15	-	-	-	-
	Subscription's Right							15	15	15	15	-	-	-	-

Market	Product	Rejection shock		Auction shock		Rejection MBA		Auction MBA		Protection Tunnel during Auction	
		+	-	+	-	+	-	+	-	+	-
Equities	Options on Equities <sup>(3)</sup>	50%	50%	20%	20%	0,25	0,25	0,10	0,10	50% or 0,25	50% or 0,25
	Options on ETFs <sup>(3)</sup>	50%	50%	20%	20%	0,25	0,25	0,10	0,10	50% or 0,25	50% or 0,25
	Options on Index - IBOV11 <sup>(4)</sup>	50%	50%	10%	10%	25	25	20	20	50% or 25	50% or 25
	Options on Index - IBXL11 <sup>(4)</sup>									50% or 25	50% or 25

Market	Product	Shock - Active object		Rejection shock	
		+	-	+	-
Equities	Vista - PETR4 <sup>(5)</sup>	0,10%	0,10%	0,50%	0,50%
	Vista - VALE3 <sup>(5)</sup>	0,10%	0,10%	0,50%	0,50%
	Ativos de Formador de Mercado <sup>(6)</sup>	0,10%	0,10%	0,50%	0,50%

<sup>(1)</sup> Definition available on Circular Letter 030/2015-DP, from 03/18/2015. Recalculated time every 15 seconds.

<sup>(2)</sup> Definition available on Circular Letter 136/2015-DP, from 12/09/2015.

<sup>(3)</sup> Expiration series auction shock of 25% and rejection of 50%, two weeks before the expiration date, B3 change the auction shock to 30% and rejection shock to 80%.

<sup>(4)</sup> Expiration series auction shock of 15% and rejection of 50%, two weeks before the expiration date, B3 change the auction shock to 15% and rejection shock to 80%.

<sup>(5)</sup> In the week prior the expiration date, the auction shock is changed to 50% and rejection to 80%. B3 informs that in accordance with the market conditions, can change the rejection and auctions shocks at any time, without notice.

<sup>(6)</sup> Exclusively for Un-sponsored Brazilian Depositary Receipts Weighted Average Price Tunnel is 6%.