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BRAZILIAN EXCHANGE AND OTC.



DATAWISE

COMMERCIAL POLICY

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1 INTRODUCTION

The DATAWISE commercial policy aims to clarify the rules established for accessing the service of analytical data provision. This service allows users to carry out descriptive analyses of the markets in which they trade.

This tool will help users to draw up corporate strategies based on real data published in the form of analytic files called reports or comparative panels called dashboards, with graphs and information that are relevant to the decision-making process.

1.1 DATAWISE users

The **DATAWISE USER** is any customer who, upon entering into the **DATAWISE SERVICES CONTRACT**, accesses the data provided by DATAWISE exclusively for use in internal activities.

1.2 Characteristics

DATAWISE will provide reports and dashboards with analytical data on the markets managed by B3. These data will be available at a website where the user shall access the contracted information with a login and password the bought information.

2 CONTRACTING

Contracting of the service occurs directly with the B3 Customer Relationship area, by telephone on +55 11 2565-7908 or by email at produtosdedados@b3.com.br.

For reports acquisition, after signing the contract, the choice of reports will be made by the USER with a buyer profile directly on the DATAWISE website.

2.1 DATAWISE services contract

The **DATAWISE SERVICES CONTRACT** describes the duties and obligations of the contracting parties and of B3 and authorizes use of DATAWISE data exclusively by the organization, whereas data distribution is not permitted. The **DATAWISE SERVICES CONTRACT** must be entered into by all **DATAWISE USERS**.

2.1.1 Required documents

The following documents shall be delivered in digitized format, together with the duly formalized **DATAWISE SERVICES CONTRACT**:

- Trading Participants: the **DATAWISE SERVICES CONTRACT** shall be signed by representatives with powers of attorney, in accordance with their registration with B3;
- Other institutions: Documentation that evidences the powers of attorney that the contracting party has granted to their legal representatives and/or their attorneys in fact;
- For all the institutions:
 - **Annex I:** This Annex to the **DATAWISE SERVICES CONTRACT** must be filled out and submitted to B3, as it encompasses the institutions of the **BUSINESS GROUP** that authorize the contracting institution to access their information that might come to be singled out in the contracted services. This document shall be updated whenever the institution obtains new authorization or when authorization is revoked for an entity in its **BUSINESS GROUP**.
 - **Annex II:** This Annex to the **DATAWISE SERVICES CONTRACT** shall be filled out and submitted to B3 as it includes the users registration data for accessing DATAWISE

- **Annex III:** This Annex to the **DATAWISE SERVICES CONTRACT** shall be filled out and submitted to B3 as it encompasses the dashboards contracted by the institution. This document must be updated whenever the contracting institution needs to modify the contracted services.
- **Annex IV:** This Annex to the **DATAWISE SERVICES CONTRACT** shall be filled out and submitted to B3 as it contains the enabling the service of reports, allowing this files to be hired by the institution on the website of Datawise.
- **Annex V:** This Annex to the **DATAWISE SERVICES CONTRACT** shall be filled out and submitted to B3, as it contains the authorization to contract the analyzes available in the Insights service. This document must be updated whenever the contracting institution needs to modify the contracted services.
- **Annex VI:** This Annex to the **DATAWISE SERVICES CONTRACT** shall be filled out and submitted to B3 as it includes the users registration data for accessing DATAWISE Insights

2.2 Business Group

The service shall be contracted by the institution, taking its **BUSINESS GROUP** into consideration for payment and access to information.

BUSINESS GROUP refers to the group of institutions comprised of:

- (i) Legal entities that are directly or indirectly controlled by the same legal entity and/or (ii) controlling group and its direct or indirect subsidiaries.

All the companies belonging to the **BUSINESS GROUP** shall expressly authorize the contracting institution. Any change to the composition of the group must be communicated in advance to **B3** to allow due contractual adjustments.

The companies in the **BUSINESS GROUP** shall authorize the contracting institution to access the contracted information.

It should be noted that **B3's** express approval is required for companies to be included in the **CONTRACT** as members of the **BUSINESS GROUP**.

3 FEE SCHEDULE

Upon formalization of the **CONTRACT** fees will be charged as set forth herein and in the Cetip UTVM segment fee schedule for accessing DATAWISE data. Both fee schedules show the fees charged monthly based on the reports or dashboards contracted by the institution.

All the institution's users shall have access to the same dashboards, however, reports can be segregated by access profile and DATAWISE user type.

The following topics explain each type of fee applied to DATAWISE, which its pricelist rule is segregated into two sub-services: dashboards, reports and insights.

3.1 Dashboards

3.1.1 Individual Dashboards

In this form of contracting, dashboards are contracted individually. The fee for each type of dashboard is provided in the table below.

Price per dashboard	All dashboards (unless otherwise identified in this table)	Liquidity Dashboards	Operational Qualification Program (PQO) Dashboards
	R\$ 5.000,00	R\$ 1.500,00	R\$ 1.500,00

3.1.2 Dashboard packages

It is also possible to contract the dashboards through packages with a preset number of dashboards that can be chosen in accordance with the needs of the contracting institution. Each package will have a fixed price, which is set out in the table below.

Packages	Quantity of dashboards	Package´s price
Package 1	4	R\$ 11.000,00
Package 2	8	R\$ 18.000,00
Package 3	12	R\$ 25.000,00
Package 4	16	R\$ 30.000,00
Package 5	>16	R\$ 35.000,00

The features of the contracted product may be modified at any time (modification of the number of dashboards that have been selected or modification of the package that has been contracted), being charged pro rata if the service is modified in the middle of the month.

3.1.3 Additional Logins

The contracting institution shall be entitled to three logins at the DATAWISE webportal, and as of the fourth login, the institution will have to request additional logins at R\$ 500.00 each.

3.1.4 Specific Rules

Data from the Operational Qualification Program (PQO) dashboard will continue to be available in PDF. B3 will send these data to participants upon request.

3.2 Reports

For the reports, the solicitation of this service will be made directly on the DATAWISE website, where the fees of each report will be displayed on screen.

The reference table used to compose the price of each report is published in the Fee Schedule document of the Cetip UTVM segment, available at the following electronic address:

http://www.b3.com.br/en_us/products-and-services/fee-schedules/cetip-utvm-segment-fees.htm > Cetip UTVM segment fees

3.3 Insights

3.3.1 Insights packages.

It is possible to hire the panels individually or through Insights panel packs, which can be chosen according to the USER's needs. The packages will be priced according to the number of panels desired by the USER and this value can be consulted with one of our B3 commercial representatives, and the promotional unit price (valid until December 2021) for each panel is defined in the table below:

Type	Panel's name	Unit Prices (monthly)
Unit Panel	Market Overview	R\$ 83,33
Unit Panel	X – Ray Retirees	R\$ 83,33
Unit Panel	X – Ray Savings	R\$ 500
Unit Panel	X – Ray Counties	R\$ 500
Unit Panel	X – Ray Bank branches	R\$ 500
Unit Panel	Regional Indicators	R\$ 500
Unit Panel	Market Share	R\$ 1.500
Unit Panel	Investor Prospection	R\$ 1.500

The modification of the characteristics of the contracted service (modification of the number of Insights modules chosen or the contracted package) may be carried out at any time, being charged pro rata if the service is modified in the middle of the month.

3.3.2 Additional logins

The contracting institution shall be entitled to three logins at the DATAWISE webportal to access the Insights modules, and as of the fourth login, the institution will have to request additional logins at R\$ 500.00 each.

3.4 Free trial period

B3 will grant a 15-day free trial period to registered institutions. The granting of differentiated terms of gratuity will depend on the approval of the competent internal committees of B3. Since there will be subsequent automatic activation as a paid service, the registered institutions must request cancellation if they do not wish to continue to use the DATAWISE service.

3.5 Annual price adjustment

The prices quoted in Brazilian Reals (BRL) in this Commercial Policy shall be corrected annually by the inflation rate measured by the Extended National Consumer Price Index (IPCA/IBGE).

4 GENERAL RULES

For accessing the DATAWISE service, it will be necessary to formalize through the DATAWISE SERVICES CONTRACT, as required in this Commercial Policy.

Any omissions in regard to this Commercial Policy shall be resolved by B3.

5 CHANGE LOG

Validity period: As of February 01, 2019

1st version: February 19, 2018.

Registration of changes:

Version	Changed Item	Motive	Date
01	Original Version	N/A	N/A
02	Mention of the Reports as DATAWISE sub-service	Adding the Report to the DATAWISE as a sub-service Addition of Annex 4 to enable the Report Sub-service Proof of Item 3.1.3 regarding promotions	01/02/2019

03	Including of sub-service in Datawise : Insights	Addition the Insights to the DATAWISE as a sub-service Addition of Annex 5 and 6 to enable the Insights Sub-service	13/05/2020
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