



PERIODICAL SELF-REPORT SUBMISSION MANUAL

Version 1.1

Versions

Version	Effectiveness	
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1.1	11/01/2026	-

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1. INTRODUCTION

This document aims to present the templates and information required in the **Periodical Self-Reports**, the submission of which varies according to the applicable **Market Data B3 License**.

The **B3 Data Services Platform** for submitting the **Periodical Self-Reports** can be accessed through the addresses below:

- Internet: <https://servicosdedados.b3.com.br>
- Extranet: <https://servicosdedados.netb3.com.br/>

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2. REPORT TYPES

There are four Periodical Self-Report types:

- **Internal Use:** this Periodical Self-Report is mandatory for holders of Internal Use, Distribution, Trading Platform and Product Development licenses, and is intended to inform the consumption of Real-Time Data by the contractor company's own internal Consumption Applications, including its Reporting Group.
- **Non-Reporting User:** this Periodical Self-Report is mandatory for holders of Distribution license and is intended to inform the consumption of Real-Time Data by the Consumption Applications of Distributor's or Redistributor's Non-Reporting Users, including its Reporting Group.
- **Indication:** this Periodical Self-Report is mandatory for holders of Distribution and PSG license and is intended to inform which Redistributor, Reporting Users (including, but not limited to, Trading Platforms and Product Developer) receive Market Data B3, either on a Real-Time Data or Delayed Data basis.
- **Product Development:** this report is mandatory for holders of Product Development License, when applicable, and the Product Developer must provide the necessary information for the purposes of license billing.

The table below lists the Market Data B3 licenses and their respective mandatory reporting types:

MARKET DATA B3 - LICENSES	PERIODICAL SELF-REPORT			
	INTERNAL USE	NON-REPORTING USER	INDICATION	PRODUCT DEVELOPEMENT
DISTRIBUTION	✓	✓	✓	✗
INTERNAL USE	✓	✗	✗	✗
TRADING PLATFORMS	✓	✗	✗	✗
PRODUCT DEVELOPMENT	✓	✗	✗	✓
PSG	✗	✗	✓	✗

The deadline for submitting the Periodical Self-Report and the applicable billing cycle is described in the Market Data B3 Commercial Policy.

3. CORRECTION OF PERIODICAL SELF-REPORT

Corrections to **Periodical Self-Reports** submitted through the **B3 Data Services Platform** may be made for the three consumption months immediately preceding the current consumption month.

Examples:

- If the date falls between July 1 and July 15 (assuming July 15 is a business day), it will be possible to correct reports related to the months of March, April, and May, as well as submit the regular report for June.
- If the date falls between July 16 and July 31, it will be possible to correct reports related to the months of April and May. During this period, the June report becomes part of the correction window and may also be amended.

To make a correction, the **User** must submit a new **Periodical Self-Report** containing the complete set of information for the consumption month to be corrected. The report must be submitted in its entirety, as the new submission will completely replace the last valid **Periodical Self-Report** recorded for that period.

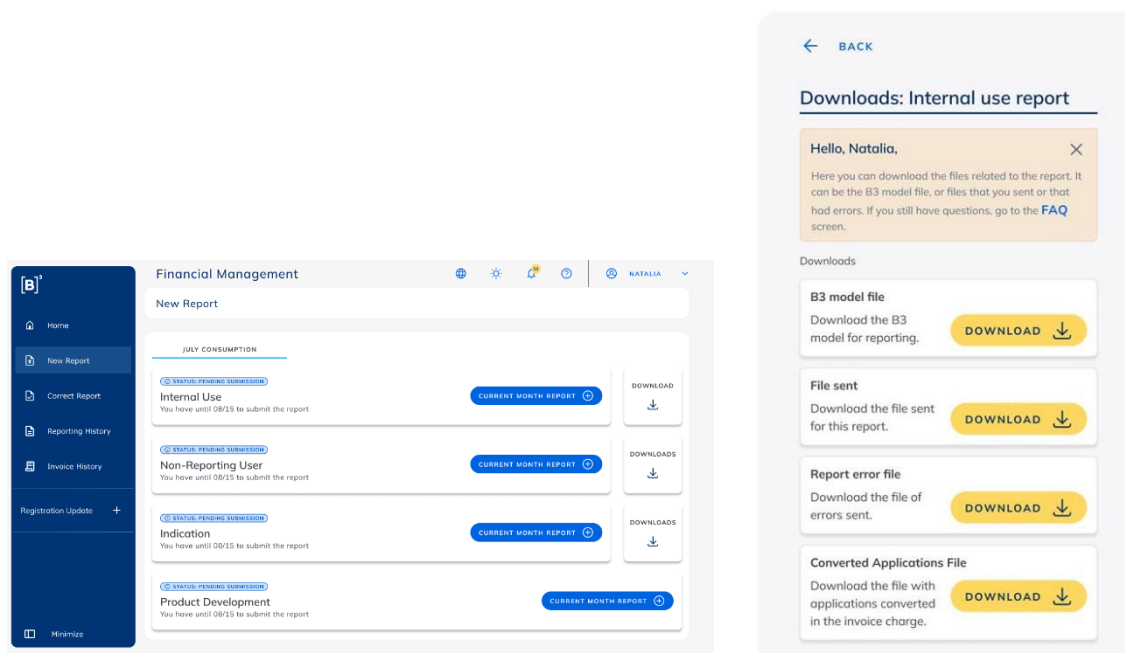
Any resulting financial differences, whether positive (additional amount to be charged) or negative (amount to be credited), will be automatically calculated and reflected in accordance with the billing cycle. Therefore, any adjustments will be included in the next invoice issued.

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4. PERIODICAL SELF-REPORT TEMPLATES

Each Periodical Self-Report type has a specific template with predetermined fields, as detailed below.

Sample files for each report type are available for download through the “Downloads” button, accessible from the “New Report > Downloads” and “Correct Report > Downloads” menus within the system.



The “Internal Use”, “Non-Reporting User” and “Indication” reports are expected in “.CSV” format and encoded using UTF-8.

4.1. HEADER TEMPLATE – APPLICABLE TO ALL PERIODICAL SELF-REPORT

Header	Required	Format	Description
Consumption Month and Year	Yes	mm/yyyy	Consumption month and year of the Market Data B3 to be reported.
Report Type	Yes	1, for Internal Use 2, for Non-Reporting User 3, for Indication	Indication of the type of report being submitted.

4.2. INTERNAL USE TEMPLATE

Header – Internal Use	Required	Format	Description
Purpose of Use Code	Yes	The Purpose Use Code is detailed at Annex 1	Purpose Use Code consolidates the type of Consumption Application, dataset and order book depth
Application Username	Yes	Text. Maximum 250 characters	- If the application is Display: Username. - If the application is Non-Display: Application name, responsible person name, or department/area.
Application User identification	Yes	For local customers: - use the CPF/CNPJ number. For international customers: - use an individual Personal ID or VAT code.	- If the application is Display: - User ID document. - If the application is Non-Display: - ID of the responsible person or company.
Application User country	Yes	Country Code Alpha 2-code ISO 3166	Country where the application that consumes Market Data B3 is located.
Application User E-mail	Yes	Email with valid domain Maximum 250 characters	Email of the User of the application that consumes the Market Data B3.
Quantity	Yes	Numeric	Total number of distinct applications, regardless of whether the same access credentials are used and/or access is performed simultaneously.
Reporting Group identifier	Yes	Text. Maximum 15 characters	Company identifier for Reporting Group which the reported Consumption Application is linked. The identifier is defined by the customer when registering its own Reporting Group.
ID/Platform	Yes	Text. Maximum 50 characters	Used to provide platform credentials or any other information that can serve as a unique identifier for the source platform and the corresponding Consumption Application.

4.3. NON-REPORTING USER TEMPLATE

Header – Non-Reporting User	Required	Format	Description
Purpose of Use Code	Yes	The Purpose Use Code is detailed at Annex 1	Purpose Use Code consolidates the type of Consumption Application, dataset and order book depth
Application Username	Yes	Text. Maximum 250 characters	If the application subscriber is an individual: - Name of the subscriber. If the application subscriber is a legal entity: - If the application is Display: Name of the User. - If the application is Non-Display: Name of the application
Application User identification	Yes	For local customers: - use the CPF/CNPJ number. For international customers: - use an individual Personal ID or VAT code.	If the application subscriber is an individual: - Subscriber ID document. If the application subscriber is a legal entity: - If the application is Display: User ID document. - If the application is Non-Display: ID document of the responsible person or the subscribing company.
Application User country	Yes	Country Code Alpha 2-code ISO 3166	Country where the application that consumes Market Data B3 is located.
Application User E-mail	Yes	Email with valid domain Maximum 250 characters	If the application subscriber is an individual: - Email address of the subscriber. If the application subscriber is a legal entity: - If the application is Display: Email address of the User. - If the application is Non-Display: Email address of the responsible person or department.
Quantity	Yes	Numeric	Total number of distinct applications, regardless of whether the same access credentials are used and/or access is performed simultaneously.

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Reporting Group identifier	Yes	Text. Maximum 15 characters	Company identifier for Reporting Group which the reported Consumption Application is linked. The identifier is defined by the customer when registering its own Reporting Group.
Company Name	Conditional*	Text. Maximum 250 characters	Sponsoring company name which provides Market Data B3 access. *This is a mandatory field if a legal entity is the consumer application contractor.
Company Identifier	Conditional*	For local customers: - use the CNPJ number. For international customers: - use the VAT code.	Document of sponsoring company which provides Market Data B3 access. *This is a mandatory field if a legal entity is the consumer application contractor.
Company Country	Conditional*	Country Code Alpha 2-code ISO 3166	Country Code of the sponsoring company which provides Market Data B3 access. *This is a mandatory field if a legal entity is the consumer application contractor.
ID/Platform	Yes	Text. Maximum 50 characters	Used to provide platform credentials or any other information that can serve as a unique identifier for the source platform and the corresponding Consumption Application.
Affiliated trading participant	No*	Numeric. Maximum 6 characters	Code of affiliated trading participant which the Operations Professional is associated. The codes of trading participants codes can be found in the B3 Trading Participants link *When filling in this field, if the application User is associated with the indicated trading participant, the operations terminal fee will be applied.

4.4. INDICATION TEMPLATES

Header – Indication	Required	Format	Description
Purpose of Use Code	Yes	The Purpose Use Code can is detailed at Annex 2	The Purpose of Use Code consolidates information about the customer type and dataset.
Company Name	Yes	Text. Maximum 250 characters	Name of the legal entity consuming the Market Data B3.
Company Identifier	Yes	For local customers: - Use the company CNPJ. For international customers: - Use the VAT Code.	Document Number of the Company that is consuming the Market Data B3.
Company Country	Yes	Country Code Alpha 2-code ISO 3166	Country of the Company consuming the Market Data B3.
Contact Name	Yes	Text. Maximum 250 characters	Name of the responsible person or the company's contact department.
Contact e-mail	Yes	Email with valid domain	Email address of the responsible person or the company's contact department.

ANNEX 1

Purpose Use Code Table for “Internal Use” and “Non-Reporting User” reports

CONSUMPTION APPLICATION		DATASET	DEPTH	CODE
Display	Professional Terminal	Cash	Top of Book (L1)	1.01.01.1
			Full Order Book (L2)	1.01.01.2
		Futures	Top of Book (L1)	1.01.02.1
			Full Order Book (L2)	1.01.02.2
		TPF	Full Order Book (L2)	1.01.03.2
		TP	Full Order Book (L2)	1.01.04.2
	Operations Professional Terminal ¹	Cash	Full Order Book (L2)	1.02.01.2
		Futures	Full Order Book (L2)	1.02.02.2
	Technology Professional Terminal	Cash	Top of Book (L1)	1.03.01.1
			Full Order Book (L2)	1.03.01.2
		Futures	Top of Book (L1)	1.03.02.1
			Full Order Book (L2)	1.03.02.2
		TPF	Full Order Book (L2)	1.03.03.2
		TP	Full Order Book (L2)	1.03.04.2
	Non-Professional Terminal ²	Cash	Full Order Book (L2)	1.04.01.2
		Futures	Full Order Book (L2)	1.04.02.2
		TPF	Full Order Book (L2)	1.04.03.2
		TP	Full Order Book (L2)	1.04.04.2
	Wallboard	Cash	Full Order Book (L2)	1.05.01.2
		Futures	Full Order Book (L2)	1.05.02.2
		TPF	Full Order Book (L2)	1.05.03.2
		TP	Full Order Book (L2)	1.05.04.2

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CONSUMPTION APPLICATION		DATASET	DEPTH	CODE
Non-Display	In the Co-location B3: Non-Trading	Cash	Full Order Book (L2)	2.01.01.2
		Futures		2.01.02.2
		TPF		2.01.03.2
		TP		2.01.04.2
	In the Co-location B3: Trading	Cash		2.02.01.2
		Futures		2.02.02.2
		TPF		2.02.03.2
		TP		2.02.04.2
	In the Co-location B3: Enterprise	Cash		2.03.01.2
		Futures		2.03.02.2
		TPF		2.03.03.2
		TP		2.03.04.2
	In the Co-location B3: Enterprise for Trading Platform	Cash		2.04.01.2
		Futures		2.04.02.2
		TPF		2.04.03.2
		TP		2.04.04.2
	Outside Co-location B3: Non-Trading	Cash		2.05.01.2
		Futures		2.05.02.2
		TPF		2.05.03.2
		TP		2.05.04.2
	Outside Co-location B3: Trading	Cash		2.06.01.2
		Futures		2.06.02.2
		TPF		2.06.03.2
		TP		2.06.04.2
	Outside Co-location B3: Enterprise	Cash		2.07.01.2
		Futures		2.07.02.2
		TPF		2.07.03.2
		TP		2.07.04.2
	Outside Co-location B3: Enterprise for Trading Platform	Cash		2.08.01.2
		Futures		2.08.02.2
		TPF		2.08.03.2
		TP		2.08.04.2
	Outside Co-location B3: Non-Professional	Cash		2.09.01.2
		Futures		2.09.02.2
		TPF		2.09.03.2
		TP		2.09.04.2

ANNEX 2

Purpose Use Codes Table for Indication report.

TEMPESTIVIDADE	TIPO DE CLIENTE	DATASET	CÓDIGO
Real Time	Reporting User	Cash	1.01
		Futures	1.02
		TPF	1.03
		TP	1.04
	Redistributor	Cash	2.01
		Futures	2.02
		TPF	2.03
		TP	2.04
	Reporting User in the Co-location B3 within third party infrastructure	n/a	3.01
Delay	Reporting User	Cash	4.01
		Futures	4.02
		TPF	4.03
		TP	4.04
	Redistributor	Cash	5.01
		Futures	5.02
		TPF	5.03
		TP	5.04

Notes:

¹ B3's Participants must keep the list of **Operations Professionals** associated with the institution up to date in SINCAD.

If the professional indicated in the report is not properly registered in SINCAD, the system will automatically convert the **Operations Professional Terminal** code to a **Professional Terminal** code.

All conversions performed are recorded in the Converted Applications File, which is available for consultation on the **B3 Data Services Platform**. The file can be accessed during the corresponding consumption month through the Download option.

² The inclusion of **Non-Professional Terminal** codes in the **Internal Use** reports is not permitted. The system automatically converts Non-Professional Terminal codes to **Professional Terminal** codes. All conversions performed are recorded in the "Converted Applications File", which is available for consultation on the **B3 Data Services Platform**. The file can be accessed during the corresponding consumption month through the Downloads option.