

Change Log

iMercado Messenger

Change Summary

Catalog modification dates	<div style="display: flex; justify-content: space-between; padding: 5px;"> Capture, Allocation and Give-up External Code List Error Codes Pre-Matching Registration Form Securities Lending </div>					
July 13, 2018	X	X		X	X	
July 24, 2018	X			X	X	
Set. 06, 2018	X	X		X	X	
Nov. 22, 2018	X	X		X	X	
Jan. 30, 2019				X	X	
Feb. 14, 2019	X			X		
Mar. 29, 2019					X	
Apr. 09, 2019		X				X
Apr. 25, 2019	X					

CONTENTS

ALLOCATION	7
RELEASE APRIL 25, 2019	8
IMB.504.01 - SECURITIESALLOCATIONINSTRUCTIONSTATUSADVICE MESSAGE	8
RELEASE FEBRUARY 14, 2019.....	8
IMB.504.01 - SECURITIESALLOCATIONINSTRUCTIONSTATUSADVICE MESSAGE	8
RELEASE NOVEMBER 22, 2018.....	8
IMB.521.01 - FINANCIALVALUEAPPROVALRESPONSE MESSAGE	8
RELEASE SEPTEMBER 06, 2018	8
SUPL.500.01 – TRADELEGNOTIFICATIONSD MESSAGE	8
IMB.504.01 – SECURITIESALLOCATIONINSTRUCTIONSTATUSADVICE MESSAGE.....	9
IMB.507.01 – INVOICENOTIFICATION MESSAGE.....	9
IMB.508.01 – ETFTRADENOTIFICATION MESSAGE	9
IMB.509.01 – INFORMGIVEUPPENDINGAPPROVAL MESSAGE	9
IMB.522.01 – SECURITIESALLOCATEDNETAMOUNTNOTIFICATION MESSAGE.....	9
RELEASE JULY 24, 2018	10
ALL MESSAGES.....	10
RELEASE JULY 13, 2018	10
IMB.504.01 MESSAGE	10
IMB.521.01 MESSAGE	10
IMB.522.01 MESSAGE	10
RELEASE APRIL 10, 2017	10
IMB.500.01	10
SUPL.500.01 MESSAGE.....	11
IMB.502.01 MESSAGE	11
IMB.503.01 MESSAGE	11
IMB.504.01 MESSAGE	11
IMB.505.01 MESSAGE	12
IMB.506.01 MESSAGE	12
IMB.507.01 MESSAGE	12
IMB.508.01 MESSAGE	12
IMB.509.01 MESSAGE	13
IMB.510.01 MESSAGE	13
RELEASE NOVEMBER 16, 2016.....	13

IMB.508.01 MESSAGE	13
RELEASE MAY 02, 2016.....	14
ALLOCATION EXCLUSION FLOW	14
RELEASE APRIL 15, 2016	14
IMB.504.01	14
IMB.508.01 MESSAGE	14
IMB.509.01 MESSAGE	14
RELEASE FEBRUARY 15, 2016.....	15
SUPL.500.01 MESSAGE.....	15
IMB.509.01 MESSAGE	15
RELEASE NOVEMBER 30, 2015.....	15
IMB.500.01 MESSAGE	15
SUPL.500.01 MESSAGE.....	15
IMB.501.01 MESSAGE	15
IMB.502.01 MESSAGE	16
IMB.503.01 MESSAGE	16
IMB.504.01 MESSAGE	16
IMB.505.01 MESSAGE	16
IMB.506.01 MESSAGE	16
SUPL.506.01 MESSAGE.....	16
IMB.507.01 MESSAGE	17
IMB.508.01 MESSAGE	17
IMB.509.01 MESSAGE	17
IMB.510.01 MESSAGE	17
NONRESIDENT INVESTOR REGISTRATION FORM	18
RELEASE MARCH 29, 2019	19
IMB.516.01 – REGISTRATIONDATADETAILS MESSAGE.....	19
RELEASE JANUARY 30, 2019	19
IMB.516.01 – REGISTRATIONDATADETAILS MESSAGE.....	19
RELEASE NOVEMBER 22, 2018.....	20
IMB.516.01 – REGISTRATIONDATADETAILS MESSAGE.....	20
RELEASE SEPTEMBER 06, 2018	20
IMB.516.01 – REGISTRATIONDATADETAILS MESSAGE.....	20
IMB.514.01 – REGISTRATIONDATAAPPROVALREQUEST MESSAGE	20

RELEASE JULY 24, 2018	20
ALL MESSAGES	20
RELEASE JULY 13, 2018	21
IMB.516.01 – REGISTRATIONDATADETAILS MESSAGE	21
RELEASE OCTOBER 03, 2017	21
IMB.515.01 – REGISTRATIONDATAAPPROVALRESPONSE MESSAGE	21
IMB.517.01 – REGISTRATIONDATASTATUSADVICE MESSAGE	21
RELEASE JULY 03, 2017	21
IMB.516.01 – REGISTRATIONDATADETAILS MESSAGES	21
RELEASE APRIL 10, 2017	22
IMB.513.01 – REGISTRATIONDATAREQUEST MESSAGE	22
IMB.514.01 – REGISTRATIONDATAAPPROVALREQUEST MESSAGE	22
IMB.515.01 – REGISTRATIONDATAAPPROVALRESPONSE MESSAGE	22
IMB.516.01 – REGISTRATIONDATADETAILS MESSAGE	22
IMB.517.01 – REGISTRATIONDATASTATUSADVICE MESSAGE	22
PRE MATCHING	23
RELEASE FEBRUARY 14, 2019	24
SUPL.SETR.027.001.03 – SECURITIESTRADECONFIRMATION MESSAGE	24
RELEASE JANUARY 30, 2019	24
SETR.027.001.03 – SECURITIESTRADECONFIRMATION MESSAGE	24
SUPL.SETR.027.001.03 – SECURITIESTRADECONFIRMATION MESSAGE	24
SETR.029.001.01 – SECURITIESTRADECONFIRMATIONCANCELLATION MESSAGE	24
SETR.044.001.02 – SECURITIESTRADECONFIRMATIONSTATUSADVICE MESSAGE	25
SUPL.SETR.044.001.02 – SECURITIESTRADECONFIRMATIONSTATUSADVICE MESSAGE	25
RELEASE SEPTEMBER 06, 2018	25
SETR.027.001.03 – SECURITIESTRADECONFIRMATION MESSAGE	25
SETR.029.001.01 – SECURITIESTRADECONFIRMATIONCANCELLATION MESSAGE	25
SUPL.SETR.029.001.01 – SECURITIESTRADECONFIRMATIONCANCELLATION MESSAGE	25
SETR.030.001.01 – SECURITIESTRADECONFIRMATIONRESPONSE MESSAGE	26
SETR.044.001.02 – SECURITIESTRADECONFIRMATIONSTATUSADVICE MESSAGE	26
SUPL.SETR.044.001.02 – SECURITIESTRADECONFIRMATIONSTATUSADVICE MESSAGE	26
RELEASE JULY 24, 2018	26
ALL MESSAGES	26
RELEASE JULY 13, 2018	27

SETR.027.001.03 – SECURITIESTRADECONFIRMATION MESSAGE.....	27
SUPL.SETR.027.001.03 – SECURITIESTRADECONFIRMATION MESSAGE	27
SETR.029.001.01 – SECURITIESTRADECONFIRMATIONCANCELLATION MESSAGE	27
SETR.030.001.01 – SECURITIESTRADECONFIRMATIONRESPONSE MESSAGE.....	27
SETR.044.001.02 MESSAGE	27
RELEASE JULY 03, 2017	28
SETR.044.001.02 – SECURITIESTRADECONFIRMATIONSTATUSADVICE MESSAGE	28
RELEASE APRIL 10, 2017	28
SETR.027.001.03 – SECURITIESTRADECONFIRMATION MESSAGE.....	28
SETR.044.001.02 – SECURITIESTRADECONFIRMATIONSTATUSADVICE MESSAGE	28
SECURITIES LENDING.....	29
RELEASE APRIL 09, 2019	30
IMB.523.01 – SECURITIESLENDINGCONTRACTINVOICEDETAILS MESSAGE	30
IMB.524.01 – SECURITIESLENDINGSETTLEMENTCONTRACTINVOICEDETAILS MESSAGE	30
IMB.525.01 – SECURITIESLENDINGCONTRACTINVOICEAPPROVALREQUEST MESSAGE.....	30
IMB.526.01 – SECURITIESLENDINGCONTRACTINVOICEAPPROVALRESPONSE MESSAGE.....	30
EXTERNAL CODE LIST.....	31
Nov. 30, 2015.....	31
FEB. 29, 2016	31
APR. 15, 2016.....	31
APR. 10, 2017.....	31
JUL. 03, 2017.....	31
AUG. 22, 2017	31
OCT. 16, 2017	31
JUL. 13, 2018.....	31
Nov. 11, 2018.....	31
ERROR CODES - MESSENGER	32
JUL. 18, 2016.....	32
Nov. 23, 2018.....	32

ALLOCATION

Version Log

Date	Version	Description	Responsible
Nov. 30, 2015	1.0	First Draft	
Feb. 15, 2016	1.1	<ol style="list-style-type: none"> SUPL.500.01 – Inclusion of attribute and change to the ExternalStrategyTypeCode type. imb.509.01 – change to the ExternalStrategyTypeCode type. Exclusion of Version Log information regarding the IMBARQ file. 	
Apr. 15, 2016	1.2	Change to imb.504.01 – change of the attribute and relationships cardinality and English / Portuguese description change. Change to the Data type of the imb.508.01 and imb.509.01 messages	
May 02, 2016	1.3	<ol style="list-style-type: none"> Exclusion of imb.500.01 from the sub-account allocation Exclusion flow. 	
Nov. 16, 2016	1.4	<ol style="list-style-type: none"> Inclusion of attributes in imb.508.01. 	
Apr. 10, 2017	1.5	Change to imb.500.01, SUPL.500.01, imb.502.01, imb.503.01, imb.504.01, imb.505.01, imb.506.01, imb.507.01, imb.508.01, imb.509.01 and imb.510.01	
Jul. 13, 2018	1.6	<ol style="list-style-type: none"> Creation of the imb.521.01, imb.522.01 messages. Change to imb.504. 	
Jul. 24, 2018	1.6	Inclusion of the Data Type Details information column in all messages.	
Sep. 06, 2018	1.7	Changes to the SUPL.500.01, imb.504.01, imb.507.01, imb.508.01, imb.509.01 and imb.522.01 messages	
November 22, 2018	1.8	Changes to the imb.521.01 message	
February 14, 2019	1.9	Changes to the imb.504.01 message	
April 25, 2019	1.10	Changes to the imb.504.01 message	

Release April 25, 2019

imb.504.01 - SecuritiesAllocationInstructionStatusAdvice Message

1.	
Description of the change:	Creation of the R2 rule regarding the Reference item and Change to the cardinality of item 3.0 – Reference (Refs) to optional (0..1)
Impacted components:	imb.504.01 - SecuritiesAllocationInstructionStatusAdvice
Artefatos impactados	
1. Catálogo (X)	2. XML - Exemplo ()
3. Arquivo .XSD (X)	
2.	
Descrição da alteração:	Change to the cardinality of item 7.2 - IndividualAllocationIdentificationpara (0..1)
Componentes Impactados:	AllocationBVMF10
Artefatos impactados	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	

Release February 14, 2019

imb.504.01 - SecuritiesAllocationInstructionStatusAdvice Message

1.	
Description of the change:	Change to the cardinality of item 7.1 – AllocationIdentification (0..1)
Impacted components:	AllocationBVMF10
Artefatos impactados	
4. Catalogue (X)	5. XML - Example (X)
6. File .XSD (X)	

Release November 22, 2018

imb.521.01 - FinancialValueApprovalResponse Message

1.	
Description of the change:	Change to the cardinality of item 4.1 – AllocationIdentification (0..1)
Impacted components:	AllocationBVMF4
Artefatos impactados	
7. Catalogue (X)	8. XML - Example (X)
9. File .XSD (X)	

Release September 06, 2018

SUPL.500.01 – TradeLegNotificationSD Message

1.	
Change description:	Inclusion of the 3.2 - SecurityAccountType (SctyAcctTp) field
Impacted components:	AllocationBVMF20
Impacted artifacts	
4. Catalogue (X)	5. XML - Example (X)
6. File .XSD (X)	
2.	
Description of the change:	Creation of the R2 rule regarding the 3.2 - SecurityAccountType (SctyAcctTp) field
Impacted components:	AllocationBVMF20
Impacted artifacts	

1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	

imb.504.01 – SecuritiesAllocationInstructionStatusAdvice Message

1.

Description of the change:	Inclusion of the 7.3 - SecurityAccountType (SctyAcctTp) field
Impacted components:	AllocationBVMF10
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	

2.

Description of the change:	Creation of the R1 rule regarding item 3.1.1 – ExecutingPartyTransactionIdentification (ExctgPtyTxId)
Impacted components:	IdentificationReference8Choice
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD ()	

imb.507.01 – InvoiceNotification Message

1.

Description of the change:	Inclusion of the 3.4 - SecurityAccountType (SctyAcctTp) field
Impacted components:	InvoiceBVMF1
Impacted artifacts	
10. Catalogue (X)	11. XML - Example (X)
12. File .XSD (X)	

imb.508.01 – ETFTradeNotification Message

1.

Description of the change:	Inclusion of the 5.9 - SecurityAccountType (SctyAcctTp) field
Impacted components:	ETFTradeDetailsBVMF2
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	

imb.509.01 – InformGiveUpPendingApproval Message

1.

Description of the change:	Inclusion of the 4.1 - SecurityAccountType (SctyAcctTp) field
Impacted components:	AccountDetailsBVMF1
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	

imb.522.01 – SecuritiesAllocatedNetAmountNotification Message

1.

Description of the change:	Inclusion of the 6.3 - SecurityAccountType (SctyAcctTp) field
Impacted components:	AllocationBVMF18
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	

2.

Description of the change:	Change to the cardinality of the 6.1 - AllocationIdentification (AllcnId) field from (1..1) to (0..1)
Impacted components:	AllocationBVMF18
Impacted artifacts	

1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	

Release July 24, 2018

All messages

1.

Description of the change:	Inclusion of the Data Type Details column in all of the messages.
Impacted components:	
Impacted artifacts	
7. Catalogue (X)	8. XML - Example ()
9. File .XSD ()	

Release July 13, 2018

imb.504.01 Message

1.

Description of the change:	Inclusion of the GrossTradeAmount (GrssTradAmt) (7.7) field
Impacted components:	AllocationBVMF10
Impacted artifacts	
10. Catalogue (X)	11. XML - Example (X)
12. File .XSD (X)	

imb.521.01 Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	

imb.522.01 Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	

Release April 10, 2017

imb.500.01

1.

Description of the change:	Exclusion of the OthrlId (OtherIdentification (3.7.2)) tag
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image ()

2.

Description of the change:	Inclusion of the attribute: <ul style="list-style-type: none"> AllocationIdentification (Allcnld)
Impacted components:	TradeLeg8

Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

SUPL.500.01 Message

1.

Description of the change:	Inclusion of the attribute: <ul style="list-style-type: none"> TradeParticipantIdentification (TradPtctptId)
Impacted components:	SUPL.500.01 - TradeLegNotificationSD
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

imb.502.01 Message

1.

Description of the change:	Exclusion of the FinInstmId (FinancialInstrumentIdentification (5.0)) tag
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

2.

Description of the change:	Inclusion of the attribute: <ul style="list-style-type: none"> AllocationIdentification (AllcId)
Impacted components:	IdentificationReference11Choice
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

imb.503.01 Message

1.

Description of the change:	Inclusion of these attributes: <ul style="list-style-type: none"> AdditionalInformation (AddtInf) OrderIdentification (OrdId) SourcePartyIdentification (SrcPtyId) TradeParticipantIdentification (TradPtctptId)
Impacted components:	DistributionCriteriaInformationBVMF1
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

2.

Description of the change:	Exclusion of the FinInstmId (FinancialInstrumentIdentification (4.0)) tag
Impacted components:	DistributionCriteriaInformationBVMF1
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (x)	4. Diagram Image(x)

3.

Description of the change:	Exclusion of the Dt (Date (3.1.1)) tag
Impacted components:	DistributionCriteriaInformationBVMF1
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (x)	4. Diagram Image(x)

imb.504.01 Message

1.

Description of the change:	Inclusion of the attribute: AllocationIdentification (AllcId)
----------------------------	---

Impacted components:	AllocationBVMF10
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	4. Diagram Image(X)

2.

Description of the change:	Exclusion of the FinInstrmId (FinancialInstrumentIdentification (6.0)) tag
Impacted components:	AllocationBVMF10
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (x)
3. File .XSD (x)	4. Diagram Image(x)

imb.505.01 Message

1.

Description of the change:	Inclusion of the attribute: <ul style="list-style-type: none"> AllocationIdentification (Allcnd)
Impacted components:	IdentificationReferenceBVMF3
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

2.

Description of the change:	Exclusion of the FinInstrmId (FinancialInstrumentIdentification (3.0)) tag
Impacted components:	TradeLeg1
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (x)	4. Diagram Image(X)

imb.506.01 Message

1.

Description of the change:	Inclusion of the attribute: <ul style="list-style-type: none"> AllocationIdentification (Allcnd)
Impacted components:	TradeLeg1
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

2.

Description of the change:	Exclusion of the OthrId (OtherIdentification (3.4.2)) tag
Impacted components:	TradeLeg1
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (x)	4. Diagram Image(x)

imb.507.01 Message

1.

Description of the change:	Exclusion of the FinInstrmId (FinancialInstrumentIdentification (3.5.2)) tag
Impacted components:	TradeLeg1
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (x)	4. Diagram Image()

imb.508.01 Message

1.

Description of the change:	Inclusion of the attribute: <ul style="list-style-type: none"> AllocationIdentification (Allcnd)
----------------------------	---

Impacted components:	ETFTradeDetailsBVMF2
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	4. Diagram Image(X)

2.

Description of the change:	Reorganization of the indices below "5.8".
Impacted components:	ETFTradeDetailsBVMF2
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (x)
3. File .XSD (x)	4. Diagram Image(x)

imb.509.01 Message

1.

Description of the change:	Inclusion of the attribute: <ul style="list-style-type: none"> AllocationIdentification (AllcId) SourcePartyIdentification (SrcPtyId)
Impacted components:	imb.509.01 - InformGiveUpPendingApproval
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

2.

Description of the change:	Exclusion of the OthrId (OtherIdentification (5.2)) tag
Impacted components:	imb.509.01 - InformGiveUpPendingApproval
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (x)	4. Diagram Image(x)

imb.510.01 Message

1.

Description of the change:	FinInstrmId (FinancialInstrumentIdentification (4.0))
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

Release November 16, 2016

imb.508.01 Message

1.

Description of the change:	Inclusion of these attributes: <ul style="list-style-type: none"> Segment (Sgmt) Market (Mkt)
Impacted components:	FinancialInstrumentAttributesBVMF32
Impacted artifacts	
5. Catalogue (X)	6. XML - Example (X)
7. File .XSD (X)	8. Diagram Image(X)

2.

Description of the change:	Reorganization of the indices as of "5.5".
Impacted components:	ETFTradeDetailsBVMF2
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (x)
3. File .XSD (x)	4. Diagram Image(x)

Release May 02, 2016

Allocation Exclusion Flow

1.

Description of the change:	Exclusion of imb.500.01 in the subaccount allocation exclusion flow.	
Impacted components:		
Impacted artifacts		
9. Catalogue (X)	10. XML - Example ()	
11. File .XSD ()	12. Diagram Image()	

Release April 15, 2016

imb.504.01

1.

Description of the change:	Change to the cardinality of item 5.2 – TradeIdentification (TradeId) to optional (0..1)	
Impacted components:	OrderBVMF38	
Impacted artifacts		
13. Catalogue (X)	14. XML - Example ()	
15. File .XSD (X)	16. Diagram Image(X)	

2.

Description of the change:	Cardinality change: <ul style="list-style-type: none"> item 6.0 – FinancialInstrumentIdentification (FinInstrmId) to optional (0..1) item 7.0 – FinancialInstrumentAttributes (FinInstrmAttrbts) to optional (0..1) 	
Impacted components:		
Impacted artifacts		
1. Catalogue (X)	2. XML - Example ()	
3. File .XSD (X)	4. Diagram Image(X)	

3.

Description of the change:	Change to the English and Portuguese description of item 6.1.2.1- Code (Cd)	
Impacted components:		
Impacted artifacts		
1. Catalogue (X)	2. XML - Example ()	
3. File .XSD ()	4. Diagram Image()	

imb.508.01 Message

1.

Description of the change:	Change of the data type “ActiveCurrencyAndAmount” to “ActiveOrHistoricCurrencyAnd13DecimalAmount” for the items below: <ul style="list-style-type: none"> 4.9 – AdjustmentValue (AdjstmntVal) 4.10 – OtherValues (OthrVals) 	
Impacted components:	ETFTradeInformationBVMF1	
Impacted artifacts		
1. Catalogue (X)	2. XML - Example ()	
3. File .XSD (X)	4. Diagram Image(X)	

imb.509.01 Message

1.

Description of the change:	Change of the data type “ActiveCurrencyAndAmount” to “ActiveOrHistoricCurrencyAnd13DecimalAmount” for the items below: <ul style="list-style-type: none"> 12.6 – AdjustmentValue (AdjstmntVal) 12.7 – OtherValues (OthrVals) 	
----------------------------	--	--

Impacted components:	ETFTradeInformationBVMF2
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

Release February 15, 2016

SUPL.500.01 Message

1.

Description of the change:	Inclusion of item 4.16 – TradingDeskIdentification (TradgDskld)
Impacted components:	OrderBVMF20
Impacted artifacts	
17. Catalogue (X)	18. XML - Example ()
19. File .XSD (X)	20. Diagram Image(X)

2.

Description of the change:	Change of the data type of the ExternalStrategyTypeCode from “int” to “string (2)”
Impacted components:	OrderBVMF20
Impacted artifacts	
1. Catalogue ()	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image()

imb.509.01 Message

1.

Description of the change:	Change to the data type of ExternalStrategyTypeCode from “int” to “string (2)”
Impacted components:	OrderBVMF29
Impacted artifacts	
1. Catalogue ()	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image()

Release November 30, 2015

imb.500.01 Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

SUPL.500.01 Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
21. Catalogue (X)	22. XML - Example ()
23. File .XSD (X)	24. Diagram Image(X)

imb.501.01 Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

imb.502.01 Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
5. Catalogue (X)	6. XML - Example ()
7. File .XSD (X)	8. Diagram Image(X)

imb.503.01 Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

imb.504.01 Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

imb.505.01 Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

imb.506.01 Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

SUPL.506.01 Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

imb.507.01 Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

imb.508.01 Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

imb.509.01 Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

imb.510.01 Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

NONRESIDENT INVESTOR REGISTRATION FORM

Version Log

Date	Version	Description	Responsible
Apr. 10, 2017	1.0	First Draft	
Jul. 03, 2017	1.1	Inclusion of new attributes in imb.516.01.	
Oct. 03, 2017	1.2	Adjustment to definitions of the fields in the imb.515.01 and imb.517.01 messages.	
Jul. 13, 2018	1.3	Change to imb.516	
Jul. 24, 2018	1.3	Inclusion of the Data Type Details information column in all of the messages.	
Sep. 06, 2018	1.4	Inclusion of new attributes in the imb.516.01 and imb.514.01 messages	
November 22, 2018	1.5	Change to imb.516	
January 30, 2019	1.6	Change to imb.516	
March 29, 2019	1.7	Change to imb.516	

Release March 29, 2019

imb.516.01 – RegistrationDataDetails Message

1.

<p>Description of the change:</p>	<p>Inclusion of these attributes on 7.3 - InvestorAdditionalInformation (0..1) block:</p> <ul style="list-style-type: none"> • 7.3.1 - OmnibusAccountIdentification • 7.3.1.1 - Proprietary • 7.3.1.1.1 - Identification • 7.3.2 - OmnibusAccountName • 7.3.3 - RDECode • 7.3.4 - LegalRepresentativeName • 7.3.5 - DocumentLegalRepresentativeInformation • 7.3.5.1 - ExtendedIdentificationType • 7.3.5.2 - Identification • 7.3.6 - AddressLegalRepresentative • 7.3.6.1 - StreetName • 7.3.6.2 - AddressLine • 7.3.6.3 - BuildingNumber • 7.3.6.4 - PostCode • 7.3.6.5 - TownName • 7.3.6.6 - CountrySubDivision • 7.3.6.7 - Country • 7.3.6.8 - DistrictName • 7.3.7 - CommunicationAddressLegalRepresentative • 7.3.7.1 - Phone • 7.3.7.2 - Email • 7.3.8 - TaxRepresentativeName • 7.3.9 - DocumentTaxRepresentativeInformation • 7.3.9.1 - ExtendedIdentificationType • 7.3.9.2 - Identification • 7.3.10 - AddressTaxRepresentative • 7.3.10.1 - StreetName • 7.3.10.2 - AddressLine • 7.3.10.3 - BuildingNumber • 7.3.10.4 - PostCode • 7.3.10.5 - TownName • 7.3.10.6 - CountrySubDivision • 7.3.10.7 - Country • 7.3.10.8 - DistrictName • 7.3.11 - CommunicationAddressTaxRepresentative • 7.3.11.1 - Phone • 7.3.11.2 - Email • 7.3.12 - TaxLegalRepresentativeIndicator
-----------------------------------	--

Release January 30, 2019

imb.516.01 – RegistrationDataDetails Message

1.

<p>Description of the change:</p>	<p>Inclusion of these attributes:</p> <ul style="list-style-type: none"> - 7.2.6.3 – Issuer (Issr) - 7.2.12 – PrivateBankShareholder (PrvtBkShrHldr) - 7.2.16 – StatusNIF (StsNIF)
<p>Impacted components:</p>	<p>GenericIdentification9 / IndividualPersonBVMF2</p>

Artefatos impactados	
5. Catalogue (X)	6. XML - Example ()
7. File .XSD (x)	

2.

Description of the change:	Exclusion of the 7.2.15 DocumentIdentificationIndicator attribute
Impacted components:	IndividualPersonBVMF2
Artefatos impactados	
8. Catalogue (X)	9. XML - Example ()
10. File .XSD (x)	

Release November 22, 2018

imb.516.01 – RegistrationDataDetails Message

1.

Description of the change:	Changes to the item AddressType, from Enum link to ExternalAddressTypeCode of these attributes: - 7.1.17.1- AddressType - 7.2.16.1 - AddressType
Impacted components:	PostalAddressBVMF5
Artefatos impactados	
11. Catalogue (X)	12. XML - Example ()
13. File .XSD (x)	

Release September 06, 2018

imb.516.01 – RegistrationDataDetails Message

1.

Description of the change:	Inclusion of paging in imb.516.01.
Impacted components:	MessageDefinition
Impacted artifacts	
14. Catalogue (X)	15. XML - Example (x)
16. File .XSD (x)	

imb.514.01 – RegistrationDataApprovalRequest Message

1.

Description of the change:	Inclusion of the 4.1 – SecurityAccountStatusReason (SctyAcctStsRsn) attribute
Impacted components:	MessageDefinition
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (x)
3. File .XSD (x)	

Release July 24, 2018

All messages

1.

Description of the change:	Inclusion of the Data Type Details information column in all of the messages.
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD ()	

Release July 13, 2018

imb.516.01 – RegistrationDataDetails Message

1.

Description of the change:	Change of the Reference (Refs) 2.0 field to optional.
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	

Release October 03, 2017

imb.515.01 – RegistrationDataApprovalResponse Message

1.

Description of the change:	Adjustment to the description of the attributes: <ul style="list-style-type: none"> • Issuer (Issr) of index 4.2.1.2
Impacted components:	
Impacted artifacts	
4. Catalogue (X)	5. XML - Example ()
6. File .XSD ()	7. Diagram Image()

imb.517.01 – RegistrationDataStatusAdvice Message

1.

Description of the change:	Adjustment to the description of the attributes: <ul style="list-style-type: none"> • Identification (id) de índice 3.1.1 • Issuer (Issr) de índice 3.1.2 • SchemeName (SchmeNm) de índice 3.1.3
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD ()	4. Diagram Image()

Release July 03, 2017

imb.516.01 – RegistrationDataDetails Messages

1.

Description of the change:	Inclusion of the "OtherIdentification (Othrid)" attribute
Impacted components:	OrganisationBVMF3
Impacted artifacts	
8. Catalogue (X)	9. XML - Example (X)
10. File .XSD (X)	11. Diagram Image()

2.

Description of the change:	Inclusion of these attributes: <ul style="list-style-type: none"> • ExtendedIdentificationType (XtndedIdTp) • Identification (Id) • Issuer (Issr) • State (State) • Country (Ctry) • IssueDate (IsseDt) • ExpiryDate (XpryDt) • DateDepartureCountry (DtDprturtCtry)
Impacted components:	GenericIdentificationBVMF1

Impacted artifacts	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	4. Diagram Image()

3.

Description of the change:	Inclusion of the "AlternativeOtherIdentification (AltrntvOthrid)" attribute
Impacted components:	IndividualPersonBVMF2
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	4. Diagram Image()

Release April 10, 2017

imb.513.01 – RegistrationDataRequest Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
12. Catalogue (X)	13. XML - Example ()
14. File .XSD (X)	15. Diagram Image(X)

imb.514.01 – RegistrationDataApprovalRequest Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
25. Catalogue (X)	26. XML - Example ()
27. File .XSD (X)	28. Diagram Image(X)

imb.515.01 – RegistrationDataApprovalResponse Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
9. Catalogue (X)	10. XML - Example ()
11. File .XSD (X)	12. Diagram Image(X)

imb.516.01 – RegistrationDataDetails Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

imb.517.01 – RegistrationDataStatusAdvice Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4. Diagram Image(X)

PRE MATCHING

Version Log

Date	Version	Description	Responsible
Mar. 29, 2017	1.0	First Draft	
Jul. 03, 2017	1.0	Change to SETR.044.001.02.	
Jul. 13, 2018	1.1	1. Change to SETR.027.001.02 2. Creation of SETR.029.001.01 3. Creation of SETR.030.001.01 4. Change to SETR.044.001.02	
Jul. 24, 2018	1.1	Inclusion of the Data Type Details information column in all of the messages.	
Sep. 06, 2018	1.2	Creation of the Message SUPL.setr.044.001.02. Changes to the setr.030.001.01, setr.029.001.01 and setr.027.001.03 messages Creation of the Message SUPL.setr.029.001.01	
January 30, 2019	1.3	Changes to the setr.029.001.01, setr.027.001.03, SUPL.setr.027.001.03, setr.044.001.02 and SUPL.setr.044.001.02 messages.	
February 14, 2019	1.4	Changes to the SUPL.setr.027.001.03.	

Release February 14, 2019

SUPL.setr.027.001.03 – SecuritiesTradeConfirmation Message

1.

Description of the change:	Change to the cardinality of items bellow to (0..1): - 1.4 – Market - 1.5 - TickerSymbol
Impacted components:	FinancialInstrumentAttributesBVMF39
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	

Release January 30, 2019

setr.027.001.03 – SecuritiesTradeConfirmation Message

1.

Description of the change:	Change to the cardinality of item 8.0 – SupplementaryData to (1..1)
Impacted components:	
Impacted artifacts	
4. Catalogue (X)	5. XML - Example (X)
6. File .XSD (X)	

2.

Description of the change:	Creation of the R2 rule regarding the item 3.5 – GrossTradeAmount and 3.6 – DealPrice Change to the cardinality of item 3.6 – DealPrice to optional (0..1)
Impacted components:	Order17
Impacted artifacts	
7. Catalogue (X)	8. XML - Example (X)
9. File .XSD (X)	

3.

Description of the change:	Inclusion of these optional items: - 7.5 - LocalTaxCountrySpecific1 - 7.6 – LocalTaxCountrySpecific2
Impacted components:	OtherAmounts16
Impacted artifacts	
10. Catalogue (X)	11. XML - Example (X)
12. File .XSD (X)	

SUPL.setr.027.001.03 – SecuritiesTradeConfirmation Message

1.

Description of the change:	Inclusion of the item 1.4 – Market (1..1)
Impacted components:	FinancialInstrumentAttributesBVMF39
Impacted artifacts	
13. Catalogue (X)	14. XML - Example (X)
15. File .XSD (X)	

setr.029.001.01 – SecuritiesTradeConfirmationCancellation Message

1.

Description of the change:	Change to the cardinality of item 3.0 – SupplementaryData from (0..1) to (1..1)
Impacted components:	
Impacted artifacts	
16. Catalogue (X)	17. XML - Example (X)
18. File .XSD (X)	

setr.044.001.02 – SecuritiesTradeConfirmationStatusAdvice Message

1.

Description of the change:	Change to the cardinality of item 5.0 - SupplementaryData from (0..1) to (1..1)
Impacted components:	
Impacted artifacts	
19. Catalogue (X)	20. XML - Example (X)
21. File .XSD (X)	

SUPL.setr.044.001.02 – SecuritiesTradeConfirmationStatusAdvice Message

1.

Description of the change:	Inclusion of these optional items: - 1.2.5 - LocalTaxCountrySpecific1 - 1.2.6 – LocalTaxCountrySpecific2
Impacted components:	OtherAmounts16
Impacted artifacts	
22. Catalogue (X)	23. XML - Example (X)
24. File .XSD (X)	

Release September 06, 2018

SETR.027.001.03 – SecuritiesTradeConfirmation Message

1.

Description of the change:	Inclusion of the 7.3 - WithholdingTax (WhldgTax) (0..1) attribute Exclusion of the 7.2 - StockExchangeTax(StockXchgTax) (0..1) attribute Inclusion of the 7.1 - ChargesFees (ChrgsFees) (0..1) attribute
Impacted components:	OtherAmounts16
Impacted artifacts	
17. Catalogue (X)	18. XML - Example (X)
19. File .XSD (X)	

2.

Description of the change:	Creation of rule R1
Impacted components:	IdentificationReference8Choice
Impacted artifacts	
25. Catalogue (X)	26. XML - Example ()
27. File .XSD ()	

setr.029.001.01 – SecuritiesTradeConfirmationCancellation Message

1.

Description of the change:	Inclusion of the SupplementaryData1 component
Impacted components:	Message Definition
Impacted artifacts	
20. Catalogue (X)	21. XML - Example (X)
22. File .XSD (X)	

SUPL.setr.029.001.01 – SecuritiesTradeConfirmationCancellation Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	

setr.030.001.01 – SecuritiesTradeConfirmationResponse Message

1.	
Description of the change:	Change to the cardinality of item 2.0 – References from (1..1) to (2..2)
Impacted components:	Linkages15
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	a.
2.	
Description of the change:	Creation of rule R1
Impacted components:	IdentificationReference8Choice
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD ()	a.

setr.044.001.02 – SecuritiesTradeConfirmationStatusAdvice Message

1.	
Description of the change:	Inclusion of the SupplementaryData1 component
Impacted components:	Message Definition
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	
2.	
Description of the change:	Creation of rule R2
Impacted components:	IdentificationReference8Choice
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD ()	

SUPL.setr.044.001.02 – SecuritiesTradeConfirmationStatusAdvice Message

1.	
Description of the change:	Creation of the Message.
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example (X)
3. File .XSD (X)	

Release July 24, 2018

All messages

1.	
Description of the change:	Inclusion of the Data Type Details information column in all of the messages.
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD ()	

Release July 13, 2018

setr.027.001.03 – SecuritiesTradeConfirmation Message

1.

Description of the change:	Creation of attributes 1. References (Refs) 2. LocalBrokerCommission (LclBrkrComssn) 3. StockExchangeTax (StockXchgTax) 4. NetGainLoss (NetGnLoss) 5. Other (Othr) 6. OtherBusinessParties (OthrBizPties) Exclusion of the attribute 1. Matchstatus
Impacted components:	
Impacted artifacts	
4. Catalogue (X)	5. XML - Example ()
6. File .XSD (X)	

SUPL.setr.027.001.03 – SecuritiesTradeConfirmation Message

1.

Description of the change:	Exclusion of attributes 1. Market 2. AvailableResourceIndicator Inclusion of the field 1. PaymentTyoe (PmtTp)
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	4.

setr.029.001.01 – SecuritiesTradeConfirmationCancellation Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	

setr.030.001.01 – SecuritiesTradeConfirmationResponse Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	

setr.044.001.02 Message

1.

Description of the change:	Inclusion of the CommonIdentification (Cmonld) field Inclusion of the Investor (Invstr) field
Impacted components:	IdentificationReference8Choice ConfirmationParties4
Impacted artifacts	
1. Catalogue (X)	2. XML - Example ()
3. File .XSD (X)	

Release July 03, 2017

setr.044.001.02 – SecuritiesTradeConfirmationStatusAdvice Message

1.

Description of the change:	Exclusion of the Enum UnmatchedReason4Code items: <ul style="list-style-type: none"> • SettlementDate2 • CommonIdentification • StandardCollateralRatio • SecuritiesHaircut • AccruedInterestAmount2 • DealAmount2 • CallableTradeIndicator • AccruedInterestTax2 • StandingSettlementInstruction • MaturityDate • ClientOrderLinkIdentification • ClosingSettlementAmount • TypeOfFinancingClosing • OpeningLegIdentification • LendingTransactionMethod • EndFactor • ClearingSegment
Impacted components:	
Impacted artifacts	
13. Catalogue (X)	14. XML - Example ()
15. File .XSD (X)	16. Diagram Image()

Release April 10, 2017

setr.027.001.03 – SecuritiesTradeConfirmation Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
16. Catalogue (X)	17. XML - Example ()
18. File .XSD (X)	19. Diagram Image(X)

setr.044.001.02 – SecuritiesTradeConfirmationStatusAdvice Message

1.

Description of the change:	Creation of the Message
Impacted components:	
Impacted artifacts	
17. Catalogue (X)	18. XML - Example ()
19. File .XSD (X)	20. Diagram Image(X)

SECURITIES LENDING

Version Log

Date	Version	Description	Responsible
Apr. 09, 2019	1.0	First Draft	

Release April 09, 2019

imb.523.01 – SecuritiesLendingContractInvoiceDetails Message

1.

Description of the change:	Creation of the Message	
Impacted components:		
Impacted artifacts		
20. Catalogue (X)	21. XML - Example ()	
22. File .XSD (X)	23. Diagram Image(X)	

imb.524.01 – SecuritiesLendingSettlementContractInvoiceDetails Message

1.

Description of the change:	Creation of the Message	
Impacted components:		
Impacted artifacts		
21. Catalogue (X)	22. XML - Example ()	
23. File .XSD (X)	24. Diagram Image(X)	

imb.525.01 – SecuritiesLendingContractInvoiceApprovalRequest Message

1.

Description of the change:	Creation of the Message	
Impacted components:		
Impacted artifacts		
24. Catalogue (X)	25. XML - Example ()	
26. File .XSD (X)	27. Diagram Image(X)	

imb.526.01 – SecuritiesLendingContractInvoiceApprovalResponse Message

1.

Description of the change:	Creation of the Message	
Impacted components:		
Impacted artifacts		
25. Catalogue (X)	26. XML - Example ()	
27. File .XSD (X)	28. Diagram Image(X)	

EXTERNAL CODE LIST

Version Log

Date	Version	Description	Responsible
Nov. 30, 2015	1.0	Creation of the Document	
Feb. 29, 2016	1.1	Update to ExternaliMercadoRole domains.	
Apr. 15, 2016	1.2	Inclusion of domains at ExternalStatusAllocationAdviceCode.	
Apr. 10, 2017	1.3	Inclusion of domains at: ExternalMatchingStatusCode ExternalMatchStatusCode ExternalAlternativeCode ExternalLegalStructureCode ExternalInvestorTypeCode ExternalNIFIndicatorCode ExternalMaritalStatusCode ExternalCountryCode ExternalPurposeCode ExternalProfessionCode ExternalRegistrationStatusCode ExternalSecurityAccountTypeCode	
Jul. 03, 2017	1.4	Inclusion of the Externals ExternalStateCode ExternalStatusCode	
Aug. 22, 2017	1.5	Inclusion of the External ExternalRejectionReasonCode	
Oct. 16, 2017	1.5	Inclusion of the ExternalSecurityAccountTypeCode Change to the Externals: ExternalExpensesTypeCode ExternalIdentificationTypeCode ExternalMatchStatusCode ExternaliMercadoRole ExternalMatchStatusCode ExternalDomainTypeCode	
Jul. 13, 2018	1.6	Change to the Externals ExternalRejectionReasonCode Exclusion of the External ExternalMatchStatusCode	
Nov. 11, 2018	1.7	Inclusion of the External ExternalAddressTypeCode ExternalCityCode	

ERROR CODES - MESSENGER

Change Log

Date	Description
Jul. 18, 2016	Creation of the Error Codes document.
Nov. 23, 2018	Inclusion of Nonresident Investor Registration Form Error's Code (6001 a 6009)