CERTIFICATION SCRIPT

FIX SUITE

TRADER

TRADER

[B]³

1 Voice types

Voice	Characteristics
Simple Voice	Functionality to formalize business between the parties serving as evidence of execution to provide real-time price transparency to the entire market.
Fund Transfer	Solution that allows a fund to trade with another from the same institution through a chosen broker.
Prime Broker	Voice function to enable Firms to broker transactions. When the intermediary reports the two associated operations jointly, he can choose only one of the prices to be updated in the day's trades (post option);

2 Voice sending

In this test cycle, we will validate the FIX SUITE functionality.

For some steps is necessary to accept the voice fix by the tradingcertification team.

	Atividade	Resultado esperado	Status	
A1	**Voice Type - (Simple Voice)** Client: Send a Voice FIX **sell** side choosing a participant/broker.	Voice trade generated after acceptance for the counterparty.	OK	NOK
A2	Client: Send 60 trades via Voice FIX sell or buy side choosing a participant/broker.	Voice trades generated after acceptance for the counterparty.	OK	NOK
A3	Client: Send a Voice FIX **buy** side choosing a participant/broker.	Voice trades generated after acceptance for the counterparty.	OK	NOK
A4	Client: Send a Voice FIX **buy** side choosing a participant/broker selecting Generic option.	Voice trade generated after acceptance for the counterparty	OK	NOK
A5	Client: Send a Voice FIX **sell** side choosing a participant/broker selecting Generic option.	Voice trade generated.	OK	NOK
A6	Client: Send a **cross** Voice FIX choosing a participant/broker	Cross trade generated.	OK	NOK
A7	**Voice Type - (Prime Broker)** Client: Select send two Prime broker voice fix.	Voice trade sent.	OK	NOK
A8	**Trading certification** Accept the firs voice trader sent on step A7.	Voice trade generated after acceptance for the counterparty	OK	NOK

A9	**Trading certification**. Reject the second voice trade sent on step A7.	Voice rejected.	OK	NOK
A10	**Voice Type - (Fund Trasnfer)** Send a Fund transfer Voice fix.	Voice trades generated after acceptance for the counterparty	OK	NOK
A11	Client: Send 60 Fund transfers Voice FIX.	Voice trades generated after acceptance for the counterparty.	OK	NOK