**ACCREDITATION INSTRUMENT FOR MARKET MAKERS IN COMMODITY FUTURES CONTRACTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Market Maker** | | | | | | | | |  | | | **CNPJ** | | |
|  | | | | | | | | |  | | |  | | |
|  | | |  | |  | | |  | | |  | | | |
| **Intermediary** | | | | | | | | |  | | | **CNPJ** | | |
|  | | | | | | | | |  | | |  | | |
|  | | |  | |  | | |  | | |  | | | |
| **Clearing Member** | | | | | | | | |  | | | **CNPJ** | | |
|  | | | | | | | | |  | | |  | | |
|  | | | | | |  | | | | | | |  |
| **Circular Letter** |  | **Start of Activity** | | | | |  | | | **End of Link** | | | | |
|  |  | | |  | | |  | | |  | | | | |

|  |
| --- |
| **TICKERS**  Assets in which the Market Maker will be accredited in this Program |

|  |
| --- |
| Cash-Settled Corn Futures (CCM) |
| Cash-Settled Live Cattle Futures (BGI) |
| 4/5 Arabica Coffee Futures (ICF) |
| Conilon Robusta Coffee (CNL) |
| Cash-Settled Hydrous Ethanol Futures (ETH) |
| Cross-listed Cash-Settled Soybean Futures Contract at the Price of the CME Groupe Mini-Sized Soybean Futures Contract (SJC) |
| Cash-Settled FOB Santos (Platts[[1]](#footnote-1)) Soybean Futures Contract (SOY) |
| Structured Cash Settled Corn Rollover (MR1) |

**MArket maker in cash-settled corn futures (ccm) – use of the additional incentive**

Either payment (cash into a current account)

|  |
| --- |
| Payment of the amount, taxed at source pursuant to the prevailing tax legislation on the date that the financial resources are made available, as well as bank fees, other fees or taxes charged when remitting amounts overseas, when applicable. Bank Name:       Nak number or SWIFT code:       Branch number:       Current account or IBAN number: |

**Or financial asset for the incentive in credits:**

|  |
| --- |
| Cash-Settled Live Cattle Futures and Options (BGI) |
| 4/5 Arabica Coffee Futures and Options (ICF) |
| Conilon Robusta Coffee (CNL) |
| Cash-Settled Hydrous Ethanol Futures and Options (ETH) |
| Cross-listed Cash-Settled Soybean Futures Contract at the Price of the CME Groupe Mini-Sized Soybean Futures Contract (SJC) |
| Cash-Settled FOB Santos (Platts) Soybean Futures Contract (SOY) |
| US Dollar Futures Contract (DOL) |
| Mini US Dollar Futures Contract (WDO) |
| One-Day Interbank Deposit Futures Contract (DI1) |

**MArket maker in Structured Cash Settled Corn Rollover (MR1) – use of the additional incentive**

Either payment (cash into a current account)

|  |
| --- |
| Payment of the amount, taxed at source pursuant to the prevailing tax legislation on the date that the financial resources are made available, as well as bank fees, other fees or taxes charged when remitting amounts overseas, when applicable. Bank Name:       Nak number or SWIFT code:       Branch number:       Current account or IBAN number: |

**Or financial asset for the incentive in credits:**

|  |
| --- |
| Cash-Settled Live Cattle Futures and Options (BGI) |
| 4/5 Arabica Coffee Futures and Options (ICF) |
| Conilon Robusta Coffee (CNL) |
| Cash-Settled Hydrous Ethanol Futures and Options (ETH) |
| Cash-Settled Corn Futures AND oPTIONS (CCM) |
| US Dollar Futures Contract (DOL) |
| Mini US Dollar Futures Contract (WDO) |
| One-Day Interbank Deposit Futures Contract (DI1) |

|  |
| --- |
| **SPECIFIC CLAUSES: market Maker acitivity in the commodity futures contract programs**  **Atuação do Formador de Mercado nos Programas** para os Contratos Futuros **de Commodities** |

* 1. The provisions contained in this Accreditation Instrument are an integral part of the Agreement of Accreditation for Market Maker Activity (Agreement), complementing it without prejudice to the terms and conditions therein, and must be considered specifically for the purposes of the activity of the Market Maker and Intermediary, in relation to the assets designated above.
  2. The Intermediary, upon signature of this Accreditation Instrument, declares that it is aware of, agrees with, complies with and is obligated under all of the clauses, terms and conditions of the Agreement, as set forth in Clause 8, as well as in this Accreditation Instrument, for the purposes of Market Maker activity that is the subject of Circular Letter [     ] (**Circular Letter**).
  3. The Market Maker and intermediary may not:

1. Perform any kind of reverse engineering to measure or discover the trading volume of the other market makers and/or intermediaries.
2. Use or share data obtained from participation in the Market Maker Program for the Market Maker Program with any third parties.
   1. The Market Maker, Intermediary and Clearing Member recognize that B3 is in way responsible for failure to comply with the obligations described in the above clause.
   2. The Market Maker: (i) declares and recognizes that consent to this Accreditation Instrument is irrevocable and indefeasible; (ii) vouches that it has the regulatory authorizations and technical-operational authorizations required for the performance of Market Maker activities; and (iii) undertakes to meet all of the requirements foreseen in Circular Letter (     ) and in the applicable regulations.

São Paulo,

|  |  |  |
| --- | --- | --- |
| **Market Maker** | | |
| Name:  Position: |  | Name:  Position: |
| **Intermediary** | | |
| Nome:  Position: |  | Name:  Position: |

**Witnessess**

|  |  |  |
| --- | --- | --- |
| Name:  ID: |  | Name:  ID: |

1. “S&P Global Platts”, “Platts®” and “SOYBEX®” are registered trademarks owned by S&P Global Inc. and have been licensed to B3 S.A. – BRASIL, BOLSA, BALCÃO. S&P Global Platts, a division of S&P Global Inc., has also licensed B3 S.A. – BRASIL, BOLSA, BALCÃO to use the “SOYBEX®” assessments and the data included in such assessment(s) (“Platts Assessment”) in connection with the listing, trading, clearing and settlement of the FOB Santos Soybean Futures Contract based upon the Platts Assessment SOUBEX FOB SANTOS USD/mt. The Contract is not sponsored, endorsed, sold or promoted by S&P Global Platts or its affiliates or licensors. S&P Global Platts, its affiliates and licensors make no representation or warranty, express or implied, either regarding the Contract or the advisability of investing in securities or commodities generally or the ability of Platts Assessments to track general market performance or commodity price movements. S&P Global Platts, its affiliates and licensors disclaim any and all warranties, express or implied, including, bot not limited to, any warranties of merchantability or fitness for a particular purpose or use as to Platts Assessment, or the results obtained by its use or as to the performance thereof. The Platts Assessment and all components thereof are provided on an “as is” basis and any use of such Platts Assessment is ath the user’s own risk. S&P Global Platts, its affiliates and licensors do not guarantee the adequacy, accuracy, timeliness, availability or completeness of the Platts Assessment or any component thereof or any communications (whether written, oral, electronic or in other formats), and shall not be subject to any liability, including but not limited to any indirect, special, incidental, punitive or consequential damages, or any loss of profits, trading losses or loss of goodwill, or otherwise liable to compensate any person for any loss or damage arising from or in connection with Platts Assessment. [↑](#footnote-ref-1)