



BRASIL  
BOLSA  
BALCÃO

BRAZILIAN EXCHANGE AND OTC.



# DATAWISE

COMMERCIAL POLICY

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## 1 INTRODUCTION

The DATAWISE Commercial Policy (“Commercial Policy”) aims to clarify the rules established for contracting and accessing content and functionality provision services, enabling **DATAWISE CLIENTS** to carry out internal and descriptive analyses, and inferences of the markets in which they trade.

### 1.1 DATAWISE definitions

- **DATAWISE CLIENT** is any legal entity who, upon entering into a **DATAWISE SERVICES CONTRACT**, may access the content and functionalities available by the service, exclusively for use in internal activities.
- **DATAWISE USER** is any individual of responsibility expressly appointed by the **DATAWISE CLIENT** who may access the service tool through a login and password for individual use.
- **DATAWISE SERVICE** consists of the provision of DATAWISE data by **B3** to the **DATAWISE CLIENT**.
- **DATAWISE MODULES** are groups into which the **DATAWISE SERVICE** is segregated according to the nature of the data, commercialization model and functionalities of the platform through which the service is effectively made available.
- **DATAWISE PRODUCT** consists of Reports, Interactive Panels (Dashboards) or Data Packs (Plus) provided by the **DATAWISE SERVICE**.

### 1.2 Characteristics

DATAWISE is a service that provides content and/or functionalities with data from

the markets managed by **B3**. These data are made available on a dedicated website where the **DATAWISE USER** will access the contracted content and/or functionalities using a login and password.

The purpose of DATAWISE is to make content and/or functionalities available, enabling the **DATAWISE CLIENT** to carry out descriptive analyses of the markets managed by **B3**, aimed at defining corporate strategies based on actual data. It is divided into three specific **DATAWISE MODULES** for each type of analysis, namely: (i) Dashboards, (ii) Plus and (iii) Reports.

(i) Dashboards are interactive panels with graphics and data information from **B3**, which allows the **DATAWISE CLIENT** to carry out descriptive analyses of the markets managed by **B3**. The data available in this module mainly come from the Listed B3 segment, derivatives and futures, as well as stock rental transactions.

(ii) Plus consists of **B3** capital market data that may be made available according to the Data Pack contracted based on the functionalities expressed in this Commercial Policy.

(iii) Reports are mostly OTC market data available in a database format (files) and/or analytical reports available on a private and secure website.

## 2 CONTRACTING

Contracting the **DATAWISE SERVICE** and its modules is effective from the date of signature of the **DATAWISE SERVICES CONTRACT** and its Annexes. Each Annex is specific to each module and must be completed according to the module you wish to contract.

It should be noted that, as well as signing the instruments mentioned above, contracting the Reports module will be carried out by the **DATAWISE USER** acting as “buyer” directly from the DATAWISE website.

### 2.1 DATAWISE SERVICES CONTRACT

The **DATAWISE SERVICES CONTRACT** describes the duties and obligations

of the **DATAWISE CLIENT**, **DATAWISE USER** and of **B3**, and authorizes use of DATAWISE data exclusively for the internal use of the **DATAWISE CLIENT**'s organization, whereas data distribution is not permitted. Any other form of use or external disclosure, whether free of charge or for a fee, or the possibility of creating derived data, products or services based on said content and functionalities is also not permitted.

### 2.1.1 Required documents

The **DATAWISE CLIENT** must obligatorily complete and send to **B3** all the documents assigned below by email, together with the duly completed **DATAWISE SERVICES CONTRACT**:

- **Trading Participants:** The **DATAWISE SERVICES CONTRACT** must be signed by representatives with powers of attorney, in accordance with their registration with **B3**;
- **Other institutions:** Documentation that constitutes proof of the powers of attorney that the **DATAWISE CLIENT** has granted to their legal representatives and/or their attorneys in fact;
- Below are details of the Annexes to the **DATAWISE SERVICES CONTRACT**, which must be completed and sent to **B3**:
  - **Annex I:** This Annex is applicable to all **DATAWISE CLIENTS** as it contains the **USERS'** registration data for access to DATAWISE.
  - **Annex II:** This Annex is applicable to all companies who are **DATAWISE CLIENTS** wishing to contract the Reports module, as it contains the authorization for **USERS** to contract it via the DATAWISE website.
  - **Annex III:** This Annex is applicable to all companies who are **DATAWISE CLIENTS** wishing to contract the Plus module, as it contains the necessary data to create logins and access certificates to the Data Packs. This document must be updated

whenever a change is made by **USERS**.

- **Annex IV:** This Annex is applicable to all companies who are **DATAWISE CLIENTS** wishing to contract the Plus module, as it contains the Data Packs they wish to acquire. This document must be updated whenever there is a change to the contracted Data Packs.
- **Annex V:** This Annex is applicable to all companies who are **DATAWISE CLIENTS** wishing to share the **DATAWISE SERVICES CONTRACT** with other companies of the **DATAWISE CLIENT's** business group. This document allows the company to share the information contract to the others companies of your business group.
- **Annex VI:** This Annex is applicable to all companies who are **DATAWISE CLIENTS** wishing to share the **DATAWISE SERVICES CONTRACT** with other companies of the **DATAWISE CLIENT's** business group. This document must be updated whenever the **DATAWISE CLIENT** wishes to add or withdraw a company's name.
- **Annex VII:** This Annex is applicable to all companies who are **DATAWISE CLIENTS** wishing to contract the Dashboards module, as it contains the necessary data to create logins and access certificates to the interactive panels. This document must be updated whenever a change is made by **USERS**.
- **Annex VIII:** This Annex is applicable to all companies who are **DATAWISE CLIENTS** wishing to contract the Dashboards module, as it contains the interactive panels contracted. This document must be updated whenever a change in the dashboards contracted.

## 2.2 BUSINESS GROUP

**BUSINESS GROUP** refers to the group of institutions comprised of: (i) Legal entities that are directly or indirectly controlled by the same legal entity; and/or (ii) Controlling group and its direct or indirect subsidiaries.

Authorization to use DATAWISE may be extended to companies that are part of the same **BUSINESS GROUP** as the **DATAWISE CLIENT** sharing the contracted service, whereas the **DATAWISE CLIENT** shall be responsible for the payment and access to the **DATAWISE MODULES** acquired for the companies of their **BUSINESS GROUP**, subject to the terms and conditions described below.

All the companies belonging to the **DATAWISE CLIENT**'s **BUSINESS GROUP**, and who may share the contracted service, must be informed in advance to **B3** by completing a specific form contained in Annex V of the **DATAWISE SERVICES CONTRACT**. Any changes to Annex V must be expressly made by the **DATAWISE CLIENT**, with due prior communication to **B3**, by sending the updated Annex V to the contracts team by email at [contratacao@b3.com.br](mailto:contratacao@b3.com.br).

It should be noted that **B3**'s express approval is required for companies to be included in the **DATAWISE SERVICES CONTRACT** as members of the **BUSINESS GROUP**.

In light of this, banks and brokerage houses are considered part of the same **BUSINESS GROUP**, but asset managers will not be considered as belonging to the group and will thus be charged separately for the data service and access.

## 3 FEE SCHEDULE

Upon formalization of the **DATAWISE SERVICES CONTRACT**, fees will be charged as set forth in this Commercial Policy and in the B3 OTC segment fee schedule for accessing DATAWISE content and functionalities, as set forth in



items 3.1, 3.2 and 3.3.

DATAWISE fees are charged monthly based on the reports (DATAWISE Reports) or on the Data Pack (DATAWISE Plus) contracted by the **DATAWISE CLIENT**.

All **DATAWISE USERS** appointed by the **DATAWISE CLIENTS** will have access to the same modules. However, in the DATAWISE Reports, **DATAWISE USERS** can be segregated by access profile (Master or Regular) and by **DATAWISE USER** type (Buyer or Viewer).

The following topics explain each type of fee applied to DATAWISE, whose fee structure rule is segregated into two modules: Dashboards Plus and Reports.

### 3.1 Dashboards

#### 3.1.1 Individual Dashboards

In this form of contracting, dashboards are contracted individually. The fee for each type of dashboard is provided in the table below.

Price per dashboard	All dashboards (except those identified in this table)	Liquidity dashboards	Operational Qualification Program (PQO) dashboards
	BRL 5,000.00	BRL 1,500.00	BRL 1,500.00

#### 3.1.2 Dashboard Packages

It is also possible to contract the dashboards through packages with a preset number of dashboards that can be chosen to meet the **DATAWISE CLIENT**'s needs. Each package has a fixed price, which is set out in the table below.

Packages	Dashboard quantity	Package Price
Package 1	4	BRL 11,000.00
Package 2	8	BRL 18,000.00
Package 3	12	BRL 25,000.00
Package 4	16	BRL 30,000.00

Package 5	>16	BRL 35,000.00
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The features of the contracted product may be modified at any time (modification of the number of selected dashboards or modification of the contracted package) and may be charged pro rata if the service is modified in the middle of the month.

### 3.1.3 Additional Logins

**DATAWISE CLIENTS** will be entitled to three logins on the DATAWISE website to access the dashboards. If interested in contracting more logins, the **DATAWISE CLIENT** must contract additional logins, which may be requested for the amount of BRL 500.00 (five hundred Brazilian Reals) per additional login.

### 3.1.4 Specific Rules

Data contained in the Operational Qualification Program (PQO) dashboards will continue to be available in PDF format. **B3** may send these data to Trading Participants upon request.

## 3.2 Plus

### 3.2.1 Enterprise

In this contracting model, there is a fixed monthly price of USD 1,600.00 per contracted Data Pack, which will entitle **DATAWISE USERS** to use the following functionalities:

- Dashboard visualization;
- Creating and editing dashboards (coming soon);
- Download of data files available on screen; and
- API calls to consume one month of data (coming soon).

### 3.2.2 Additional Logins

**DATAWISE CLIENTS** will be entitled to three logins to access the DATAWISE Plus and functionalities set out in the Enterprise model. If interested in

contracting more logins, the **DATAWISE CLIENT** must contract additional logins, which may be requested for the amount of USD 60.00 per additional login.

### 3.2.3 Discounts

To contract two or more Data Packs, **B3** may, at its sole discretion, grant a discount. You can check this with the Data Products team at [produtosdedados@b3.com.br](mailto:produtosdedados@b3.com.br).

## 3.3 Reports

Requests for the Reports service will be made directly on the DATAWISE website, where the fees of each Report will be displayed on screen. The reference price table used to compose the price of each Report is published in the OTC Fees document available at [http://www.b3.com.br/en\\_us/products-and-services/fee-schedules/](http://www.b3.com.br/en_us/products-and-services/fee-schedules/)

## 3.4 Free trial period

**B3** may, at its own discretion, grant an up to 15-day free trial period at the first contracting. This will be formalized by B3 upon signature of the **DATAWISE SERVICES CONTRACT**. The granting of different free trial periods will depend on the approval by **B3's** competent internal committees.

If the **DATAWISE CLIENT** does not wish to continue the service after the free trial period, it must request cancellation as provided for in the **DATAWISE SERVICES CONTRACT**, since after this period the service will be automatically activated and charged.

## 3.5 Annual price adjustment

The prices quoted in Brazilian Reals (BRL) in this Commercial Policy shall be adjusted annually by the inflation rate measured by the Extended Consumer Price Index (IPCA/IBGE).

## 4 GENERAL RULES

For access to the DATAWISE data service, formalization will be required through the **DATAWISE SERVICES CONTRACT**, as specified herein. Any omissions in regard to this Commercial Policy shall be resolved by **B3**.

## 5 CHANGE LOG

**Effective:** As of February 1, 2019

**1st draft:** February 19, 2018.

**Updates:**

Version	Changed Item	Motive	Date
1	Original Version	N/A	N/A
2	Mention of the Reports module	Addition of the Reports sub-service to the DATAWISE service Addition of Annex 4 to enable the Reports service Correction of Item 3.1.3 regarding promotions	February 1, 2019
03	Inclusion of the DATAWISE Insights module	Addition of the DATAWISE Insights module. Addition of the Annex 5 and 6 to enable the Insights module service.	May 13, 2020

4	Inclusion of DATAWISE Plus and removal of the Insights module	<p>Addition of the DATAWISE Plus module</p> <p>Removal of the DATAWISE Insights module</p>	April 04, 2023
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